### CSCI 361 Lecture 13: Decidability

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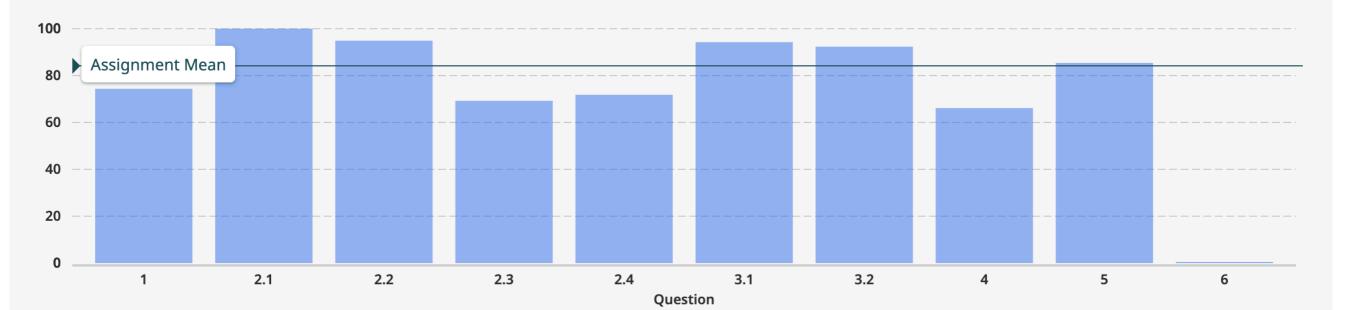
#### Announcements & Logistics

- Pick up **reading assignment** for Tues Oct 29
- HW 5 released, due next Wed Oct 30
  - Questions about TM and decidability
- Graded midterm feedback returned:
  - Mean: 83.9%, Median: 86.5%
- Reminders: CS events
  - Gourd Party today in CS common room 3.30 pm
  - **Pre-registration Info Session** (WS & Spring 2025)

## Midterm Discussion

- Curved: Across the board +2/52 applied (3.84% points)
- Midterm is just one part of overall assessment:
  - Accounts for **only** 25% of final grade
- Breakdown by question:
  - Q4 was the most challenging
  - 2c and 2d next
  - Did well on others

- Attendance, Readings & Class Participation (10%)
- Assignments (30%)
- Survey Paper and Presentation (10%)
- Midterm Exam (25%)
- Final Exam (25%)



#### So Far

- Defined Turing machines, created example TMs
- Discussed examples and variants of TM
  - Equivalence with multi-tape TM and non-deterministic TM
- Church-Turing thesis:

Intuitive notion of algorithms	equals	Turing machine algorithms
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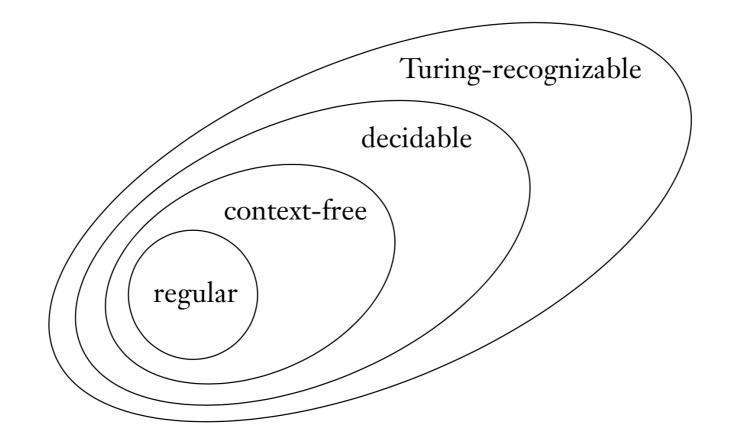
### Today and Next Week

- Show TMs are more powerful than CFLs
- Moving towards problems that are unsolvable
  - In our terminology, undecidable languages
- Building towards undecidability:
  - Deciding semantic properties of DFAs/CFGs
  - Introduce idea of reductions

# Models of Computation vs Power

- Finite automaton
- Push-down automaton
- Turing machine

- Sequencing, repetition
- Function calls/ simple recursion
- Simple loops as well as multiple recursive calls



## Towards Unsolvability

- Moving towards finding out what problems are unsolvable
  - That is, not decidable by Turing machines
- These problems are about the behavior of other machines
- Programming language analog:
  - Once you have a PL, we write an interpreter for the language
  - Python interpreter for Python programs
    - Input is any Python program
- Code is data: can be input to programs/TM

#### PL View: Syntactic vs Semantic Properties

- Semantic property: properties that do no depending on the "code/ description" of the program but rather depend on the function it computes (about its behavior on inputs)
  - Program accepts some string containing an even # of Is
- Syntactic property: depend on the particular syntax of the program (the description of the machine itself)
  - Program contains an if-else block
- Software verification is all about making sure programs meet certain specifications/requirements
- PL analog for what's coming: How hard is software verification really?

#### Code is Data

- Will soon design TMs that take other TMs as input and try answer semantic properties of the input TM
- To get ready, we will first spend some time showing that when the model of computation is restricted (automaton/CFGs), we can actually answer semantic questions about them
- All these questions will be framed as decision problems

### Example Problems

- In general, is it useful to be able to check a program's output on a given input: constantly used in verification
- Acceptance problems: is this string accepted by this machine?
  - $A_{\text{DFA}} = \{ \langle M, w \rangle \mid M \text{ is a DFA and } w \in L(M) \}$ 
    - Similar variant for NFA and regular expression
  - $A_{CFG} = \{ \langle G, w \rangle \mid G \text{ is a CFG and } w \in L(G) \}$ 
    - Similar variant for PDA
  - (Next class).  $A_{\top M} = \{ \langle M, w \rangle \mid T \text{ is a TM and } w \in L(M) \}$

## Empty-ness Checks

- Does this program to solve a decision problem ever output 1?
- Emptiness problems:
  - $E_{\text{DFA}} = \{ \langle M \rangle \mid M \text{ is a DFA and } L(M) = \emptyset \}$ 
    - Similar variant for NFA and regular expression
  - $E_{CFG} = \{ \langle G \mid G \text{ is a CFG and } L(G) = \emptyset \}$ 
    - Similar variant for PDA
  - (Next class).  $E_{\top M} = \{ \langle M, w \rangle \mid T \text{ is a TM and } L(M) = \emptyset \}$

## Equivalence Checks

- Given two programs, do their output match on all inputs?
- Equivalence problems:
  - $EQ_{DFA} = \{ \langle M, N \rangle \mid M, N \text{ are DFAs and } L(M) = L(N) \}$ 
    - Similar variant for NFA/regular expression combinations
  - (Next class).  $EQ_{CFG} = \{\langle G_1, G_2 \rangle \mid G_1, G_2 \text{ are CFGs and } L(G_1) = L(G_2)\}$ 
    - Similar variant for PDA
  - (Next class).  $EQ_{TM} = \{\langle M, N \rangle \mid M, N \text{ are TMs and } L(M) = L(N) \}$

# Encodings: Code is Data

- Notice the use of angular brackets to represent the intput
  - E.g.  $\langle G \rangle$  is the encoding of G if G is a graph
- All these languages have inputs that are machines
- Need a way to encode general objects such as DFAs, CFGs, TMs into strings over a finite alphabet
- The exact encoding scheme is usually unimportant and rarely explicitly discussed
- In the first example we do, we will discuss the encoding of a DFA
- In later examples, we omit these details and assume the TM is given a reasonable encoding

# Switch to Algorithms

- As Sipser puts it, we are at a "turning point" in the theory of computation
- Using the Church-Turing thesis, we will assume that TM can implement the algorithms we have discussed in class
  - E.g., a TM can covert a regular expression to an NFA, a PDA to a CFG, etc.
  - The details of how this conversion is handled is not important

### Acceptance Problem for DFAs

**Theorem.** The language  $A_{\text{DFA}} = \{ \langle M, w \rangle \mid M \text{ is a DFA and } w \in L(M) \}$  is decidable.

- Assume the DFA  $M = (Q, \Sigma, \delta, q_0, F)$  is encoded as a string consisting of the states, followed by alphabet, followed by a list of triples  $(q, x, \delta(q, x))$ , start state and final states (each separated by #)
- Simulate the DFA M on w (how would this work?)
- Accept if M accepts, otherwise reject

## Using Algorithms and Subroutines

**Theorem.** The following languages are decidable.  $A_{NFA} = \{ \langle N, w \rangle \mid M \text{ is a NFA and } w \in L(N) \}$ 

 $A_{\text{REX}} = \{ \langle R, w \rangle \mid R \text{ is a regular expression and } w \in L(R) \}$ 

#### What about CFGs?

**Theorem.**  $A_{CFG} = \{ \langle G, w \rangle \mid G \text{ is a CFG and } w \in L(G) \}$  is decidable.

**Question.** How do we check if a grammar accepts a given string?

#### What about CFGs?

**Theorem.**  $A_{CFG} = \{ \langle G, w \rangle \mid G \text{ is a CFG and } w \in L(G) \}$  is decidable.

**Proof.** NTM that decides this language:

On input  $\langle G, w \rangle$  where G is a CFG:

- Starting from the start state, guess a transition from  ${\it G}$
- Keep guessing until a string of non-terminals is reached
- If w is the same as string produced, accept; else reject

#### What about TMs?

Question. Is  $A_{TM} = \{ \langle M, w \rangle \mid T \text{ is a TM and } w \in L(M) \}$  decidable?



## **Emptiness** Testing

**Theorem.**  $E_{\text{DFA}} = \{ \langle M \rangle \mid M \text{ is a DFA and } L(M) = \emptyset \}$  is decidable.

Question. How do we check if a DFA never accepts a string?

## Emptiness Testing for DFAs

**Theorem.**  $E_{\text{DFA}} = \{ \langle M \rangle \mid M \text{ is a DFA and } L(M) = \emptyset \}$  is decidable.

**Proof.** TM that decides this language:

On input  $\langle M \rangle$  where M is a DFA:

- Mark the start state of M
- Repeat until no new states are marked:
  - Mark any state that has a transition coming from a marked state
- If no accept state is marked, accept; otherwise reject

## Emptiness Testing for CFGs

**Theorem.**  $E_{CFG} = \{ \langle G \mid G \text{ is a CFG and } L(G) = \emptyset \} \text{ is decidable.} \}$ 

**Question.** How do we check if a grammar never generates a string?

## Emptiness Testing for CFGs

**Theorem.**  $E_{CFG} = \{ \langle G \mid G \text{ is a CFG and } L(G) = \emptyset \} \text{ is decidable.}$ 

**Proof.** TM that decides this language:

On input  $\langle G \rangle$  where G is a CFG:

- Mark all the terminals in G
- Repeat until no new variables get marked:
  - Mark any variable  $A \to u_1 u_2 \cdots u_k$  such that each  $u_i$  on the RHS is already marked
- If start variable S is marked, accept; otherwise reject

Class Exercise

**Problem.** Show that

 $ALL_{DFA} = \{ \langle M \rangle \mid M \text{ is a DFA and } L(M) = \Sigma^* \}$  is decidable.

 $EQ_{DFA} = \{ \langle M, N \rangle \mid M, N \text{ are DFAs and } L(M) = L(N) \}$  is decidable.

**Hint.** Try to reduce it to one of the problems we have already discussed!

#### What about CFG Equivalence?

Question. Is  $EQ_{CFG} = \{\langle G_1, G_2 \rangle \mid G_1, G_2 \text{ are CFGs and } L(G_1) = L(G_2)\}$  decidable?

