

Sorting: Selection Sort and Insertion Sort

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Admin

- Any questions?

Sorting

How can we sort a set of items?

- Goal: sequence of steps



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- Guarantee that the cards are sorted at the end



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- We want to be able to:
 - Code it up in Java
 - Analyze the running time



Specifics

10	21	-3	40	17	13	11	-4
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 - In other words: sort them using $O(1)$ extra space.

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Where to Start?

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Maximum so far:

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Maximum so far: 10 at pos 0

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Maximum so far: 21 at pos 1

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Maximum so far: 40 at pos 3

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- Do it again! But now on all but the last element of the array

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- (This is essentially a recursive algorithm)

Selection Sort

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10	11	-3	-4	17	13	21	40
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10	11	-3	-4	17	13	21	40
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Maximum so far: 11 at pos 1

Selection Sort

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----	----	----	----	----	----	----	----

Maximum so far: 11 at pos 1

Selection Sort

10	11	-3	-4	17	13	21	40
----	----	----	----	----	----	----	----

Maximum so far: 11 at pos 1

Selection Sort

10	11	-3	-4	17	13	21	40
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Maximum so far: 17 at pos 4

Selection Sort

10	11	-3	-4	17	13	21	40
----	----	----	----	----	----	----	----

Maximum so far: 17 at pos 4

Selection Sort

10	11	-3	-4	17	13	21	40
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Maximum so far: 17 at pos 4

Selection Sort

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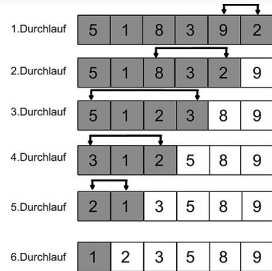
- We'll do loops, not recursion
- Let's assume we have a `swap(int [], int, int)` method that swaps two indices of an array

Selection Sort Code

```
public static void selectionSort(int data[], int n) {
    int numUnsorted = n;
    int index; // general index
    int max; // index of largest value
    while (numUnsorted > 0) {
        // determine maximum value in array
        max = 0;
        for (index = 1; index < numUnsorted; index++) {
            if (data[max] < data[index]) max = index;
        }
        swap(data,max,numUnsorted-1);
        numUnsorted--;
    }
}
```

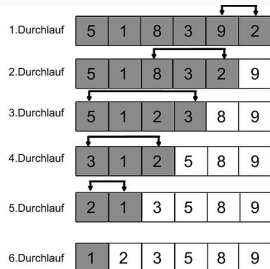
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- Why does it work?



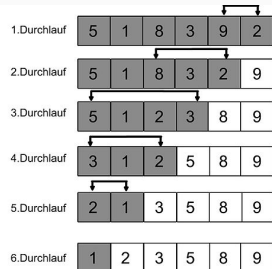
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 - The last i slots of the array contain the i largest elements of the array in sorted order



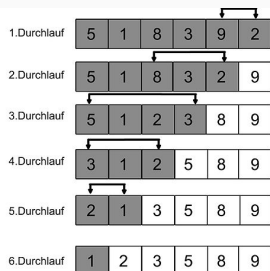
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 - The last i slots of the array contain the i largest elements of the array in sorted order
- When $i = n$ we are done
- Prove using induction. (Kind of like recursive algorithms.)



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- Inductive hypothesis: for some k , after the loop iterates k times, the last k slots of the array contain the k largest elements of the array in sorted order.
- Inductive step: by the inductive hypothesis, after the k th iteration of the outer loop, the last k slots of the array contain the k largest array items in sorted order. We scan through the array and find the largest element excluding the last k slots; this is the $k + 1$ st largest item. The swap moves it into the $k + 1$ st slot from the end of the array.

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- Summing: $\sum_{i=1}^n O(n - i + 1) = \sum_{j=1}^n O(j) = O(n^2)$

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- This time we'll start with why it works, and derive the algorithm

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- Needs to insert the $k + 1$ st item among the first k items in sorted order.

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A Beautiful Way to Accomplish This

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A Beautiful Way to Accomplish This

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- Want to take the new item and move it into sorted position
- Idea: need to move it down until the previous element is smaller
- Inner loop: store element we are trying to insert. Shift elements down while it is smaller.

Insertion Sort Code

```
public static void insertionSort(int data[], int n) {
    int numSorted = 1; // number of values in place
    int index; // general index
    while (numSorted < n) {
        int temp = data[numSorted]; // first unsorted value
        for (index = numSorted; index > 0; index--) {
            if (temp < data[index-1]) {
                data[index] = data[index-1];
            } else {
                break;
            }
        }
        data[index] = temp; // reinsert value
        numSorted++;
    }
}
```

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    while (numSorted < n) {
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        for (index = numSorted; index > 0; index--) {
            if (temp < data[index]) {
                data[index] = data[index - 1];
            } else {
                break;
            }
        }
        data[index] = temp; // reinsert value
        numSorted++;
    }
}
```

Can we get rid of the break command in this code?

Insertion Sort Code # 2

```
public static void insertionSort(int data[], int n) {
    int numSorted = 1; // number of values in place
    while (numSorted < n) {
        int temp = data[numSorted]; // first unsorted value
        int index = numSorted;
        while(index > 0 && temp < data[index - 1]) {
            data[index] = data[index-1];
            index--;
        }
        data[index] = temp; // reinsert value
        numSorted++;
    }
}
```

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 - Both take n iterations of the outer loop. What about the inner loop?
 - Selection sort *always* iterates through $n - i$ elements on the i th iteration
 - Insertion sort may stop early! Can lead to better performance in practice (and is never worse)
- To be clear: both are still $O(n^2)$ in terms of worst-case performance. Insertion sort just has better constants, and better best-case performance

Sorting Objects

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What do we need

- Reminder: we interact with objects using **methods**
- What methods do we need in order to sort objects?
 - Need to be able to determine if one item is less than another
- Two ways that this may work. Both are good depending on use case.
 - First: *only* sort objects of a type with a `compareTo()` method, allowing two objects of that type to be compared
 - Second: create a *new method* that allows us to compare the objects

Sorting with compareTo

- Let's add a `compareTo()` method to `Student`

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- This method compares the name of this student
- How does this choice affect what a sorted vector looks like?
- Let's try sorting `Students` with a `compareTo` method

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- We never used the fact that this is a vector of students (other than the `compareTo()` method)

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- We want this class to have a `compareTo()` method. How can we require this?

Making InsertionSort generic

- We never used the fact that this is a vector of students (other than the `compareTo()` method)
- What kind of types can we sort?
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- With an interface!

Comparable<T> Interface

- This is a Java interface, *not structure5*. (Built-in; don't need to import anything.)

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- Let's tell Java that our Student class implements this interface

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- Yes! Looks something like this:
 - `public static void <E> insertionSort(Vector<E> vec)`

Creating a generic sorting method

- We can make the `InsertionSort` class generic, but that seems a bit nonspecific.
- Really: want to make **one method** generic. Can we do this in Java?
- Yes! Looks something like this:
 - `public static void <E> insertionSort(Vector<E> vec)`
- Problem: can't use **any** E. Needs to be comparable with other objects of type E

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- Let's say we only want to accept objects that meet the requirements of the `List` interface. Rather than `<E>`, we write something like `<E extends List>`
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- That way, at **compile time**, Java can make sure our types match up
- These are called *upper bounds*
- Let's say we only want to accept objects that meet the requirements of the `List` interface. Rather than `<E>`, we write something like `<E extends List>`
 - (Yes, it's `extends` and not `implements`. There are some good back-end reasons for this.)
- What do we want for our `insertionSort` method?
 - Want `<E extends Comparable<E>>`
 - That is to say: we want a type `E` that implements `Comparable<E>`. That is to say: need that objects of type `E` have a `compareTo` method that takes objects of type `E` as argument

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- Can sort any object so long as it implements `Comparable<E>`
- What are the downsides of this?
 - What if we want to sort objects that aren't already comparable and we don't want to modify the class?
 - Can only sort objects one way. (What if we want to sort `Students` by grade? Would need to rewrite the `Student` class!

Where we are

- Can sort any object so long as it implements `Comparable<E>`
- What are the downsides of this?
 - What if we want to sort objects that aren't already comparable and we don't want to modify the class?
 - Can only sort objects one way. (What if we want to sort `Students` by grade? Would need to rewrite the `Student` class!
- There are upsides as well; we'll come back to this after we talk about `Comparators`