Hashing Continued

Instructors: Sam McCauley and Dan Barowy

April 29, 2022

• Talk today: gerrymandering and how it relates to computer science (2:30 in Wege)

• Any questions?

Linear Probing

- General idea: store each key-value pair in the first open slot on or after its canonical slot
- Insertion: if a collision occurs at the bin, just scan forward (linearly) until an empty slot is available; store the item there
 - We "wrap around" at the end of the array
 - Let's call a contiguous region of full bins a run
- Lookup: to find a key-value pair, calculate the bin. Then, scan linearly until the item is found or you reach the end of the run.

• Let's look at NaiveProbing.java

- Let's look at NaiveProbing.java
- Simple (not very good) hash function: index of first letter of word

- Let's look at NaiveProbing.java
- Simple (not very good) hash function: index of first letter of word
- Initial array size = 8

- Let's look at NaiveProbing.java
- Simple (not very good) hash function: index of first letter of word
- Initial array size = 8
- Add "atlanta" to the hash table, then "detroit," then "queens"
 - q is the 16th letter of the alphabet (0-indexed)

- Let's look at NaiveProbing.java
- Simple (not very good) hash function: index of first letter of word
- Initial array size = 8
- Add "atlanta" to the hash table, then "detroit," then "queens"
 - q is the 16th letter of the alphabet (0-indexed)
- What happens if we remove "atlanta" and then look up "queens?"

- Let's look at NaiveProbing.java
- Simple (not very good) hash function: index of first letter of word
- Initial array size = 8
- Add "atlanta" to the hash table, then "detroit," then "queens"
 - q is the 16th letter of the alphabet (0-indexed)
- What happens if we remove "atlanta" and then look up "queens?"
 - Our run was broken up!

- Let's look at NaiveProbing.java
- Simple (not very good) hash function: index of first letter of word
- Initial array size = 8
- Add "atlanta" to the hash table, then "detroit," then "queens"
 - q is the 16th letter of the alphabet (0-indexed)
- What happens if we remove "atlanta" and then look up "queens?"
 - Our run was broken up!
 - Now get() won't work correctly

• When we delete an element from a run, we create a "hole"

- When we delete an element from a run, we create a "hole"
 - Challenge: how do we tell if the run has ended, or if the hole was created with a deletion?

- When we delete an element from a run, we create a "hole"
 - Challenge: how do we tell if the run has ended, or if the hole was created with a deletion?
- Solution: insert a placeholder

- When we delete an element from a run, we create a "hole"
 - Challenge: how do we tell if the run has ended, or if the hole was created with a deletion?
- Solution: insert a placeholder
 - If we see the placeholder during a lookup, we treat it as a collision, and keep scanning until we find a true hole

- When we delete an element from a run, we create a "hole"
 - Challenge: how do we tell if the run has ended, or if the hole was created with a deletion?
- Solution: insert a placeholder
 - If we see the placeholder during a lookup, we treat it as a collision, and keep scanning until we find a true hole
 - If we see the placeholder during an insertion, we treat it as an open slot

- When we delete an element from a run, we create a "hole"
 - Challenge: how do we tell if the run has ended, or if the hole was created with a deletion?
- Solution: insert a placeholder
 - If we see the placeholder during a lookup, we treat it as a collision, and keep scanning until we find a true hole
 - If we see the placeholder during an insertion, we treat it as an open slot
 - Must still scan the whole run to make sure the key isn't present later on

• Let's look at HashAssociation.java

• Let's look at HashAssociation.java

• Finally, Hashtable.java

• Code is more complicated than in external chaining, but still manageable

- Code is more complicated than in external chaining, but still manageable
- The length of a run dictates the performance

- Code is more complicated than in external chaining, but still manageable
- The length of a run dictates the performance
- Removing elements does not shrink the run-it defers the work to other operations

- Code is more complicated than in external chaining, but still manageable
- The length of a run dictates the performance
- Removing elements does not shrink the run-it defers the work to other operations
 - Keeping runs small is important, so we may want to reconsider some design decisions if we expect a lot of deletions

• Downsides of linear probing?

- Downsides of linear probing?
- What if the array is almost full?

- Downsides of linear probing?
- What if the array is almost full?
 - Very long runs

- Downsides of linear probing?
- What if the array is almost full?
 - Very long runs
- Does external chaining avoid this problem?

- Downsides of linear probing?
- What if the array is almost full?
 - Very long runs
- Does external chaining avoid this problem?
 - Short answer: yes

- Downsides of linear probing?
- What if the array is almost full?
 - Very long runs
- Does external chaining avoid this problem?
 - Short answer: yes
 - Only scan through collisions, not the entire run

- Downsides of linear probing?
- What if the array is almost full?
 - Very long runs
- Does external chaining avoid this problem?
 - Short answer: yes
 - Only scan through collisions, not the entire run
 - Never scans more items than linear probing!

- Downsides of linear probing?
- What if the array is almost full?
 - Very long runs
- Does external chaining avoid this problem?
 - Short answer: yes
 - Only scan through collisions, not the entire run
 - Never scans more items than linear probing!
 - But: worse cache behavior (locality)

• What is the performance of put(K,V)?

- What is the performance of put(K,V)?
 - Linear probing: O(1 + run length)

Performance: Linear Probing vs Chaining

- What is the performance of put(K,V)?
 - Linear probing: O(1 + run length)
 - External Chaining: O(1 + chain length)

Performance: Linear Probing vs Chaining

- What is the performance of put(K,V)?
 - Linear probing: O(1 + run length)
 - External Chaining: O(1 + chain length)
- Same for get(K), remove(K)

Performance: Linear Probing vs Chaining

- What is the performance of put(K,V)?
 - Linear probing: O(1 + run length)
 - External Chaining: O(1 + chain length)
- Same for get(K), remove(K)
- So: how do we control the length of a run/length of a chain?

Performance: Linear Probing vs Chaining

- What is the performance of put(K,V)?
 - Linear probing: O(1 + run length)
 - External Chaining: O(1 + chain length)
- Same for get(K), remove(K)
- So: how do we control the length of a run/length of a chain?
- Related: how do we actually choose a hash function?

Hashtable Size

• Like vectors: we need to grow when we run out of space

- Like vectors: we need to grow when we run out of space
- What do we mean by running out of space?

- Like vectors: we need to grow when we run out of space
- What do we mean by running out of space?
- We need to make a trade-off between space and performance:

- Like vectors: we need to grow when we run out of space
- What do we mean by running out of space?
- We need to make a trade-off between *space* and performance:
 - We want our table size to be large to minimize collisions (and run/chain lengths): leads to good performance, *bad space*

- Like vectors: we need to grow when we run out of space
- What do we mean by running out of space?
- We need to make a trade-off between space and performance:
 - We want our table size to be large to minimize collisions (and run/chain lengths): leads to good performance, *bad space*
 - We want our table size to be small to minimize wasted space (empty slots): leads to *good space*, bad performance

- Like vectors: we need to grow when we run out of space
- What do we mean by running out of space?
- We need to make a trade-off between space and performance:
 - We want our table size to be large to minimize collisions (and run/chain lengths): leads to good performance, *bad space*
 - We want our table size to be small to minimize wasted space (empty slots): leads to *good space*, bad performance
- Some flexibility (like with Vectors): we don't know the size up front

• Suppose a hash table with *m* slots stores *n* elements

- Suppose a hash table with *m* slots stores *n* elements
- Load factor is a measure of how full the hash table is

load factor =
$$\frac{\text{# elements}}{\text{# slots}} = \frac{n}{m}$$

- Suppose a hash table with *m* slots stores *n* elements
- Load factor is a measure of how full the hash table is

load factor =
$$\frac{\text{# elements}}{\text{# slots}} = \frac{n}{m}$$

• A smaller load factor means the hashtable is less full, which likely gives better performance

• We can keep a running count of the table's elements so that we always know the load factor

- We can keep a running count of the table's elements so that we always know the load factor
- Given a hashtable's load factor, what should we do?

- We can keep a running count of the table's elements so that we always know the load factor
- Given a hashtable's load factor, what should we do?
 - If the load factor is high (say > .5), we grow our table

- We can keep a running count of the table's elements so that we always know the load factor
- Given a hashtable's load factor, what should we do?
 - If the load factor is high (say > .5), we grow our table
- How to grow?

- We can keep a running count of the table's elements so that we always know the load factor
- Given a hashtable's load factor, what should we do?
 - If the load factor is high (say > .5), we grow our table
- How to grow?
- Vectors: ensureCapacity() allocates a new Object array, then copies elements over

- We can keep a running count of the table's elements so that we always know the load factor
- Given a hashtable's load factor, what should we do?
 - If the load factor is high (say > .5), we grow our table
- How to grow?
- Vectors: ensureCapacity() allocates a new Object array, then copies elements over
- Does this work for hashtables?

• Cannot just copy values! (why?)

- Cannot just copy values! (why?)
- The canonical slot might change

- Cannot just copy values! (why?)
- The canonical slot might change
- Example: suppose key.hashCode() == 11

- Cannot just copy values! (why?)
- The canonical slot might change
- Example: suppose key.hashCode() == 11
- Then 11 % 8 == 3 but 11 % 16 == 11

- Cannot just copy values! (why?)
- The canonical slot might change
- Example: suppose key.hashCode() == 11
- Then 11 % 8 == 3 but 11 % 16 == 11
- How can we handle this?

- Cannot just copy values! (why?)
- The canonical slot might change
- Example: suppose key.hashCode() == 11
- Then 11 % 8 == 3 but 11 % 16 == 11
- How can we handle this?
- To grow our hashtable, we must recompute the canonical slot for each item, then reinsert the item into the new array

• Choose some load factor

- Choose some load factor
- .50 and .66 are very popular; depends a bit on the use case

- Choose some load factor
- .50 and .66 are very popular; depends a bit on the use case
- Tradeoff between size and performance

- Choose some load factor
- .50 and .66 are very popular; depends a bit on the use case
- Tradeoff between size and performance
- structure5 Hashtable uses .6



• Some people like using hash tables whose size is a prime



- Some people like using hash tables whose size is a prime
- Reason: remember that we use % array.length to calculate the canonical slot

- Some people like using hash tables whose size is a prime
- Reason: remember that we use % array.length to calculate the canonical slot
- A prime size can help "spread out" the items

- Some people like using hash tables whose size is a prime
- Reason: remember that we use % array.length to calculate the canonical slot
- A prime size can help "spread out" the items
- Downside: need to find a prime size when doubling

- Some people like using hash tables whose size is a prime
- Reason: remember that we use % array.length to calculate the canonical slot
- A prime size can help "spread out" the items
- Downside: need to find a prime size when doubling
- We won't worry about this in this class; just a heads up. You'll often see a hash table of size 997 or something—this is why.

Choosing Hash Functions

• Good hash functions:

- Good hash functions:
 - Are fast to compute

- Good hash functions:
 - Are fast to compute
 - Uniformly distribute keys across the range

- Good hash functions:
 - Are fast to compute
 - Uniformly distribute keys across the range
- Rules of thumb to make good hash functions?

- Good hash functions:
 - Are fast to compute
 - Uniformly distribute keys across the range
- Rules of thumb to make good hash functions?
 - Not really. We almost always have to test "goodness" empirically

Hashing Strings

• What are some reasonable hash functions for Strings

- What are some reasonable hash functions for Strings
- One idea: use the first character's Unicode value? (Every character is stores as a number in Java). Problems with this?

- What are some reasonable hash functions for Strings
- One idea: use the first character's Unicode value? (Every character is stores as a number in Java). Problems with this?
 - Can only return 0-255

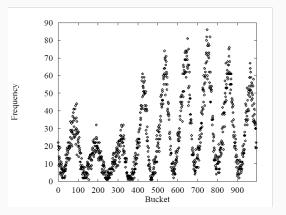
- What are some reasonable hash functions for Strings
- One idea: use the first character's Unicode value? (Every character is stores as a number in Java). Problems with this?
 - Can only return 0-255
 - Not uniform (some letters far more common)

- What are some reasonable hash functions for Strings
- One idea: use the first character's Unicode value? (Every character is stores as a number in Java). Problems with this?
 - Can only return 0-255
 - Not uniform (some letters far more common)
- Sum of the Unicode values of all characters?

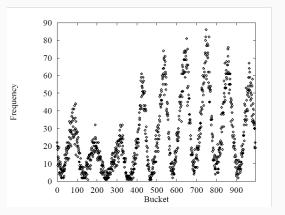
- What are some reasonable hash functions for Strings
- One idea: use the first character's Unicode value? (Every character is stores as a number in Java). Problems with this?
 - Can only return 0-255
 - Not uniform (some letters far more common)
- Sum of the Unicode values of all characters?
 - Still not uniform! (We'll see in a second)

- What are some reasonable hash functions for Strings
- One idea: use the first character's Unicode value? (Every character is stores as a number in Java). Problems with this?
 - Can only return 0-255
 - Not uniform (some letters far more common)
- Sum of the Unicode values of all characters?
 - Still not uniform! (We'll see in a second)
 - Doesn't work well for large hashtables

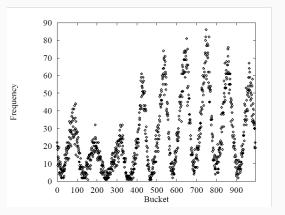
- What are some reasonable hash functions for Strings
- One idea: use the first character's Unicode value? (Every character is stores as a number in Java). Problems with this?
 - Can only return 0-255
 - Not uniform (some letters far more common)
- Sum of the Unicode values of all characters?
 - Still not uniform! (We'll see in a second)
 - Doesn't work well for large hashtables
 - Not good at avoiding collisions: smile, limes, miles, and slime are all the same



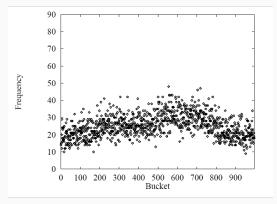
- This is the hash of all words in the UNIX spellchecking dictionary
 - x-axis is bucket; y-axis is number of words that hash to the bucket



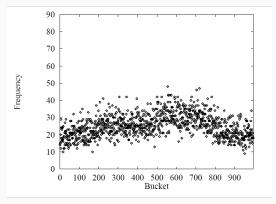
- This is the hash of all words in the UNIX spellchecking dictionary
 - x-axis is bucket; y-axis is number of words that hash to the bucket
- Uses 997 buckets



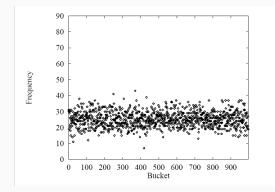
- This is the hash of all words in the UNIX spellchecking dictionary
 - x-axis is bucket; y-axis is number of words that hash to the bucket
- Uses 997 buckets
- Hash of a string s: $\sum_{i=0}^{s.length} s.charAt(i)$



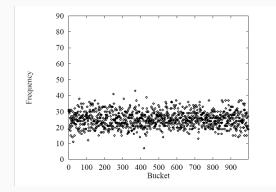
• Hash of a string s:
$$\sum_{i=0}^{s.length} 2^i \cdot s.charAt(i)$$



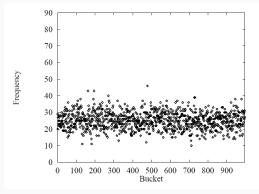
- Hash of a string s: $\sum_{i=0}^{s.length} 2^i \cdot s.charAt(i)$
- Better! But still not great.



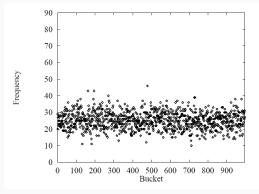
• Hash of a string s: $\sum_{i=0}^{s.length} 256^i \cdot s.charAt(i)$



- Hash of a string s: $\sum_{i=0}^{s.length} 256^i \cdot s.charAt(i)$
- Really good! But do we need numbers as big as 256ⁱ?



• Hash of a string s:
$$\sum_{i=0}^{s.length} 31^i \cdot s.charAt(i)$$



- Hash of a string s: $\sum_{i=0}^{s.length} 31^i \cdot s.charAt(i)$
- This is (essentially) what Java uses to hash strings!

• Integers?

- Integers?
 - In Java: i.hashCode() is i

- Integers?
 - In Java: i.hashCode() is i
 - Could be terrible depending on your data

- Integers?
 - In Java: i.hashCode() is i
 - Could be terrible depending on your data
 - Might want to use another hashCode() method in that case

- Integers?
 - In Java: i.hashCode() is i
 - Could be terrible depending on your data
 - Might want to use another hashCode() method in that case
 - One popular one (has theoretical performance guarantees!):

h(x) = (ax + b) % p

- Integers?
 - In Java: i.hashCode() is i
 - Could be terrible depending on your data
 - Might want to use another hashCode() method in that case
 - One popular one (has theoretical performance guarantees!):

h(x) = (ax + b) % p

• What about other classes?

- Integers?
 - In Java: i.hashCode() is i
 - Could be terrible depending on your data
 - Might want to use another hashCode() method in that case
 - One popular one (has theoretical performance guarantees!):

h(x) = (ax + b) % p

- What about other classes?
- Write your own (probably similar) hashCode() methods. Test empirically to make sure elements are spread out

• Given the hash code of an object o, how long does get(o) take?

- Given the hash code of an object o, how long does get(o) take?
- O(run length) for linear probing; O(chain length) for external chaining

- Given the hash code of an object o, how long does get(o) take?
- O(run length) for linear probing; O(chain length) for external chaining
- Assumes that .equals() is O(1) time

- Given the hash code of an object o, how long does get(o) take?
- O(run length) for linear probing; O(chain length) for external chaining
- Assumes that .equals() is O(1) time
- How long does calculating a hash code take?

- Given the hash code of an object o, how long does get(o) take?
- O(run length) for linear probing; O(chain length) for external chaining
- Assumes that .equals() is O(1) time
- How long does calculating a hash code take?
 - Can be long for, say, a long string.

- Given the hash code of an object o, how long does get(o) take?
- O(run length) for linear probing; O(chain length) for external chaining
- Assumes that .equals() is O(1) time
- How long does calculating a hash code take?
 - Can be long for, say, a long string.
 - O(1) in terms of the number of items in the hash table

- Given the hash code of an object o, how long does get(o) take?
- O(run length) for linear probing; O(chain length) for external chaining
- Assumes that .equals() is O(1) time
- How long does calculating a hash code take?
 - Can be long for, say, a long string.
 - O(1) in terms of the number of items in the hash table
 - Another example of being careful about how we're stating our running time. Usually: in terms of number of strings in the table. But do we care about the length of our strings?

• Let's say we have constant load factor

- Let's say we have constant load factor
- Assume we have a good hash function

- Let's say we have constant load factor
- Assume we have a good hash function
 - Spreads objects out "like random"

- Let's say we have constant load factor
- Assume we have a good hash function
 - Spreads objects out "like random"
- Then an average bucket has constant chain length

- Let's say we have constant load factor
- Assume we have a good hash function
 - Spreads objects out "like random"
- Then an average bucket has constant chain length
- An *average* bucket is in a run of constant length

- Let's say we have constant load factor
- Assume we have a good hash function
 - Spreads objects out "like random"
- Then an average bucket has constant chain length
- An *average* bucket is in a run of constant length
- (With overwhelming probability, never gets worse than $O(\log n)$ for any bucket)

- Let's say we have constant load factor
- Assume we have a good hash function
 - Spreads objects out "like random"
- Then an average bucket has constant chain length
- An *average* bucket is in a run of constant length
- (With overwhelming probability, never gets worse than $O(\log n)$ for any bucket)
- Usually we say we have O(1) performance. True on average; the actual worst case might be a bit worse

	put	get	space
Unsorted Vector	<i>O</i> (<i>n</i>)	<i>O</i> (<i>n</i>)	<i>O</i> (<i>n</i>)
Unsorted List	O(n)	O(n)	<i>O</i> (<i>n</i>)
Sorted Vector	O(n)	$O(\log n)$	<i>O</i> (<i>n</i>)
Balanced BST	$O(\log n)$	$O(\log n)$	<i>O</i> (<i>n</i>)
Hashtable (average)	O(1)	<i>O</i> (1)	<i>O</i> (<i>n</i>)