

CSCI 136:  
Data Structures  
and  
Advanced Programming

Lecture 10

Recursion, part 2

Instructor: Dan Barowy  
**Williams**

Your to-dos

1. Lab 3, **due Tuesday 3/1 by 10pm**
2. Read **before Wed**: Bailey, Ch 9.4–9.5.

Topics

- Quiz 2—best case
- Recursion costs
- Mathematical Induction

Announcements

- Lab 1: feedback today
- Lab 1: if feedback has mistakes...



## What is the “best case”?

```
public static <E> void rev(Vector<E> orig, Vector<E> flip) {  
    for (int i = orig.size() - 1; i >= 0; i--) {  
        flip.add(orig.get(i));  
    }  
}
```

2. What is the *best case* runtime for `rev` in Big-O notation? You can assume that  $n$  is the length of `orig`.  
Note: write an upper bound using  $O$  as in " $O(g(n))$ "

Your answer: \_\_\_\_\_

## Does the **best case** depend on **$n$** ? (where **$n$** is the size of the input)

```
public static <E> void rev(Vector<E> orig, Vector<E> flip) {  
    for (int i = orig.size() - 1; i >= 0; i--) {  
        flip.add(orig.get(i));  
    }  
}
```

**Yes.** Don't be fooled by the fact that  **$n$  can be 0**.

```
public static int findFirstSpace(String s) {  
    for(int i = 0; i < s.length(); i++) {  
        if(s.charAt(i) == ' ') {  
            System.out.println("Found space at i = " + i);  
            return i;  
        }  
    }  
    return -1;  
}
```

**No.**

The algorithm can finish early **even if  $n$  is large**.

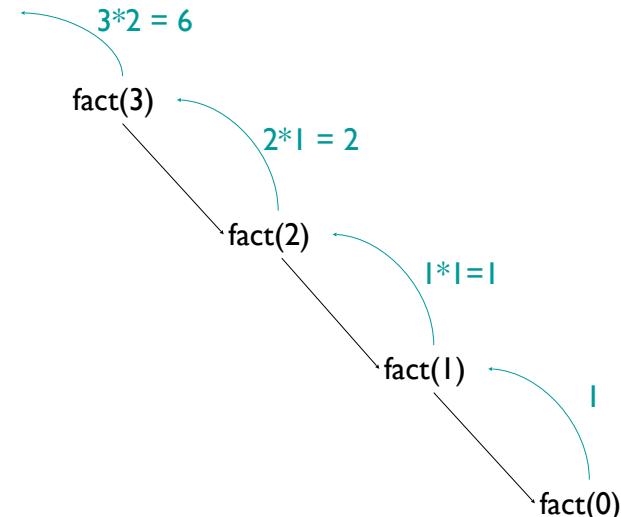
## Practice Quiz

## Recall: Factorial

- $n! = n \times (n-1) \times (n-2) \times \dots \times 1$
- Work with a partner and see if you can come up with a recursive solution.

How much does a **recursive** solution **cost**?

Graphically...



```
class Factorial {  
    public static int fact(int n) {  
        if (n == 0) { return 1; }  
        return n * fact(n - 1);  
    }  
  
    public static void main(String[] args)  
    int n = Integer.parseInt(args[0]);  
    System.out.println(fact(n));  
}
```

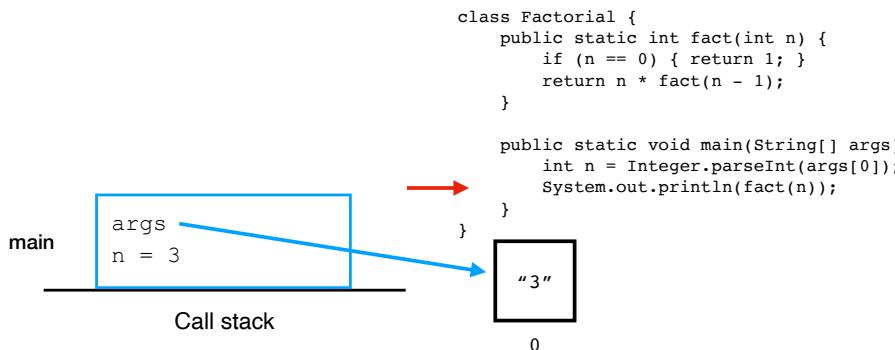
Call stack

Call program with input “3”.

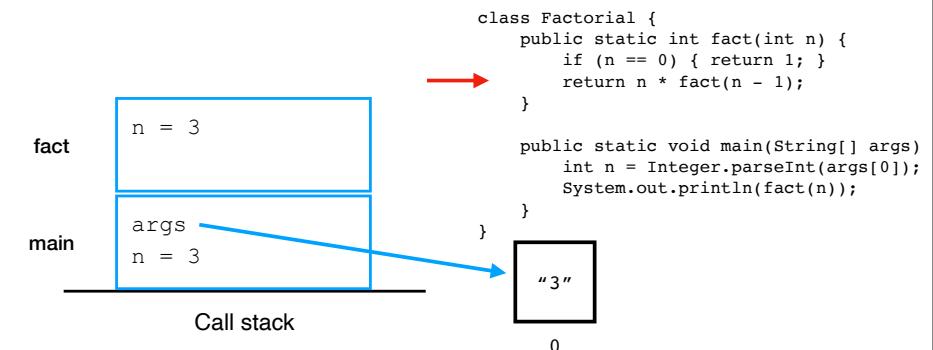
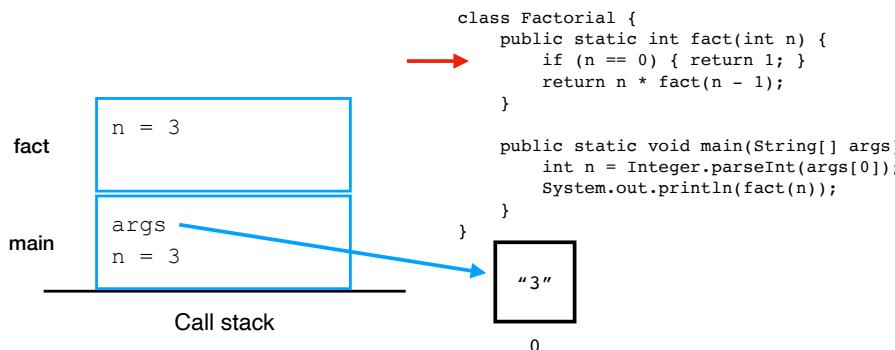
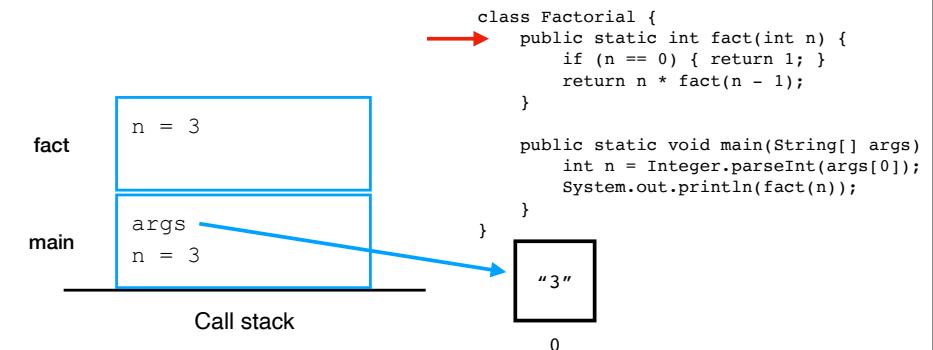
```
class Factorial {  
    public static int fact(int n) {  
        if (n == 0) { return 1; }  
        return n * fact(n - 1);  
    }  
  
    public static void main(String[] args)  
    int n = Integer.parseInt(args[0]);  
    System.out.println(fact(n));  
}
```

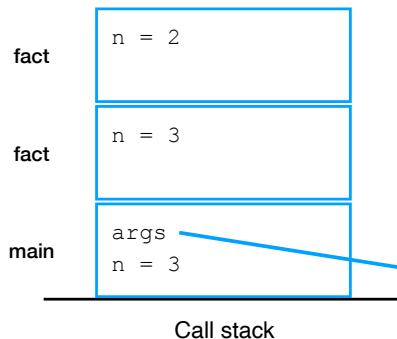
The call stack diagram shows two frames. The first frame, labeled "main", contains variables `args` and `n`. An arrow points from this frame to a second frame labeled "0". Inside the second frame, the value "3" is displayed.

Call program with input “3”.



I skipped a subtlety here; did you spot it?



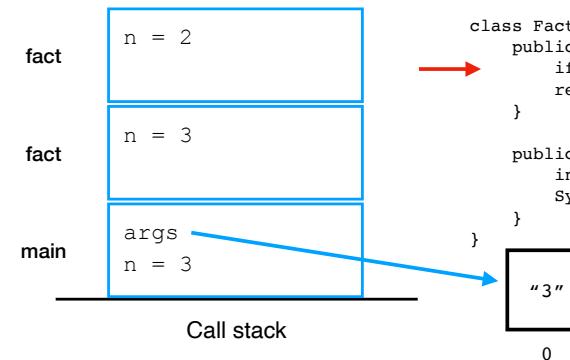


```

class Factorial {
    public static int fact(int n) {
        if (n == 0) { return 1; }
        return n * fact(n - 1);
    }

    public static void main(String[] args)
        int n = Integer.parseInt(args[0]);
        System.out.println(fact(n));
    }
}
  
```

“3”  
0

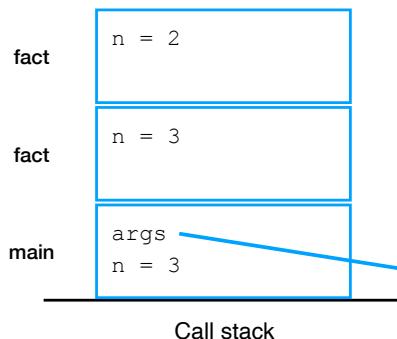


```

class Factorial {
    public static int fact(int n) {
        if (n == 0) { return 1; }
        return n * fact(n - 1);
    }

    public static void main(String[] args)
        int n = Integer.parseInt(args[0]);
        System.out.println(fact(n));
    }
}
  
```

“3”  
0

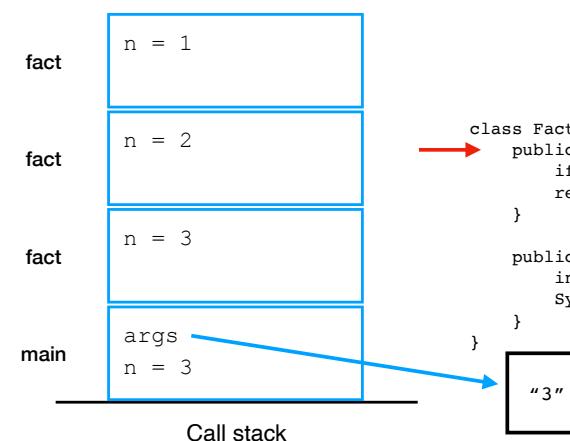


```

class Factorial {
    public static int fact(int n) {
        if (n == 0) { return 1; }
        return n * fact(n - 1);
    }

    public static void main(String[] args)
        int n = Integer.parseInt(args[0]);
        System.out.println(fact(n));
    }
}
  
```

“3”  
0

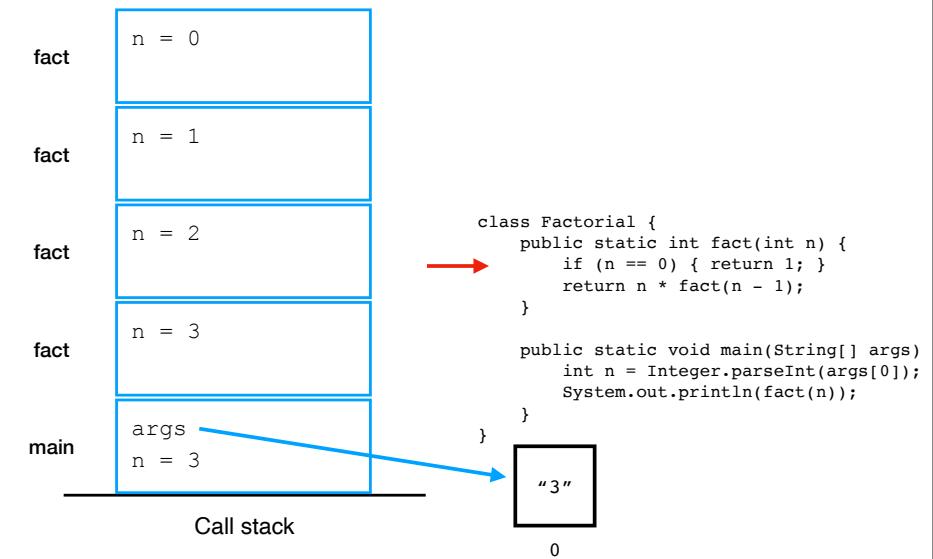
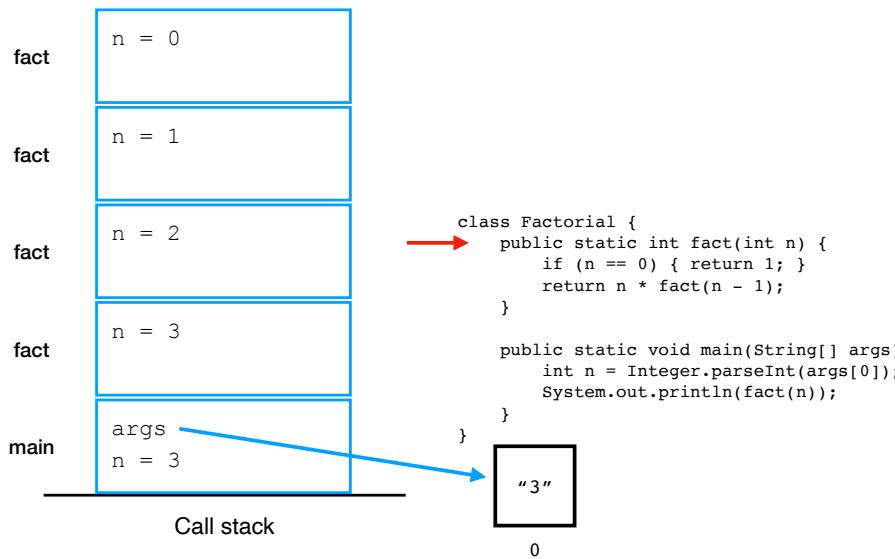
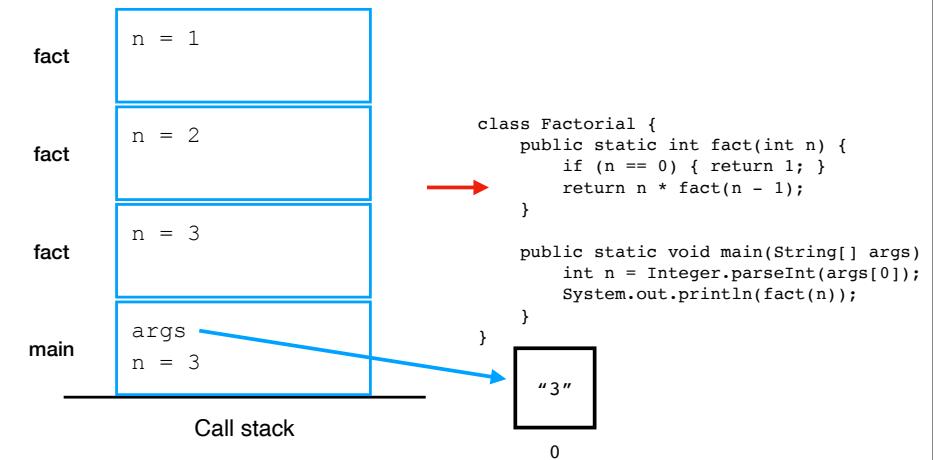
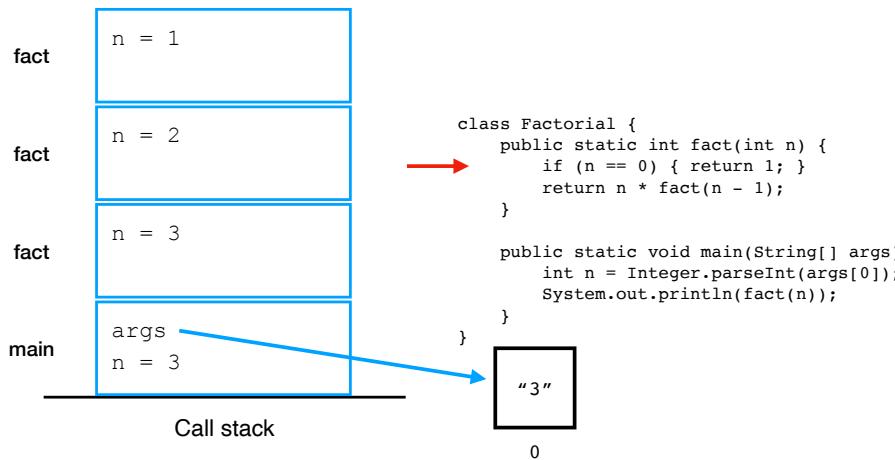


```

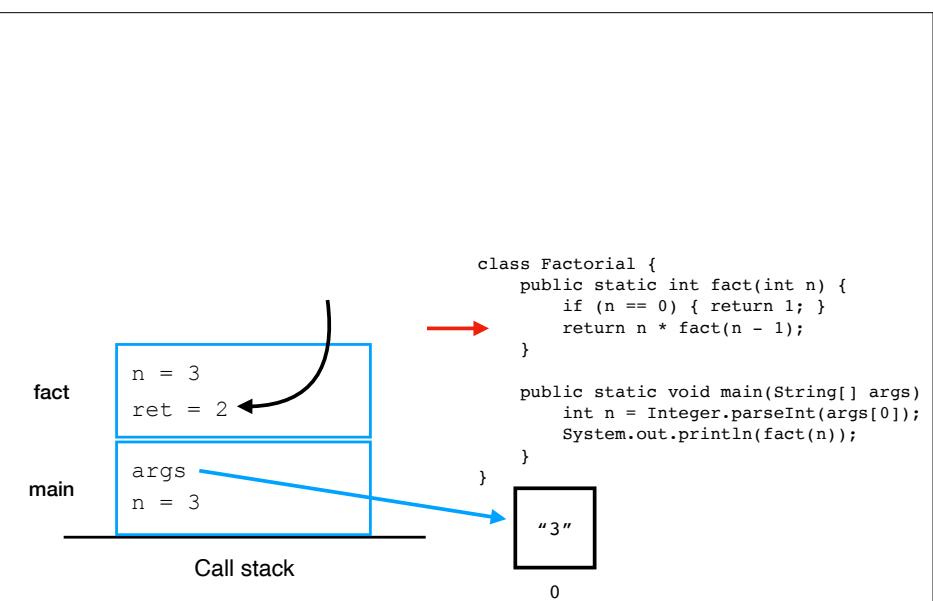
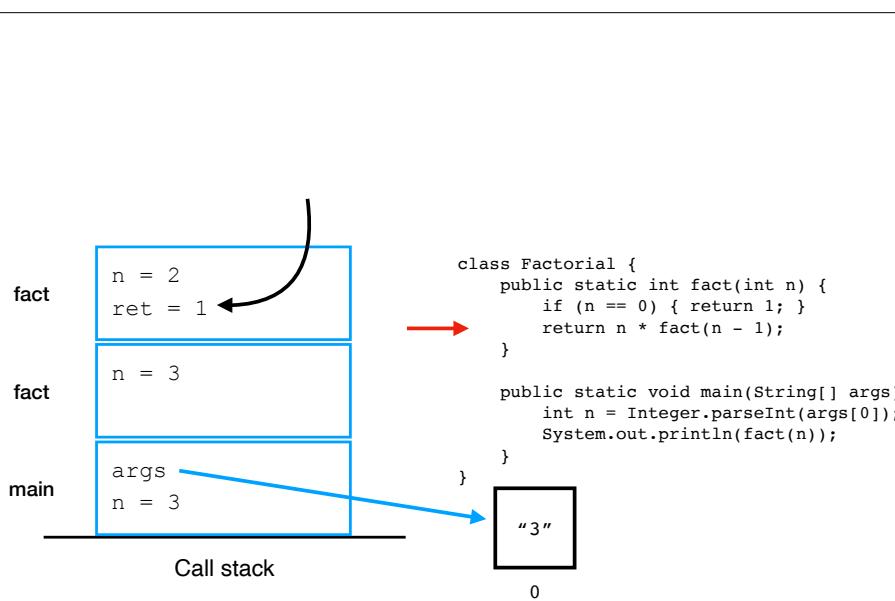
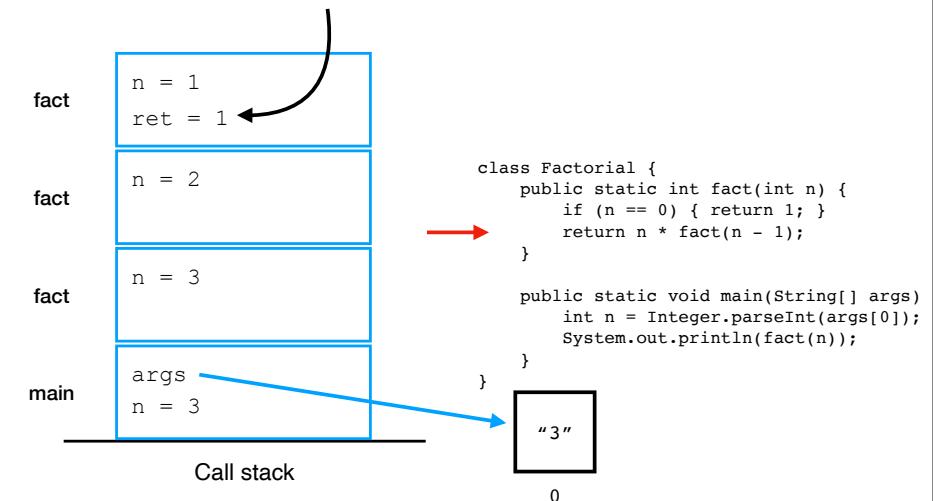
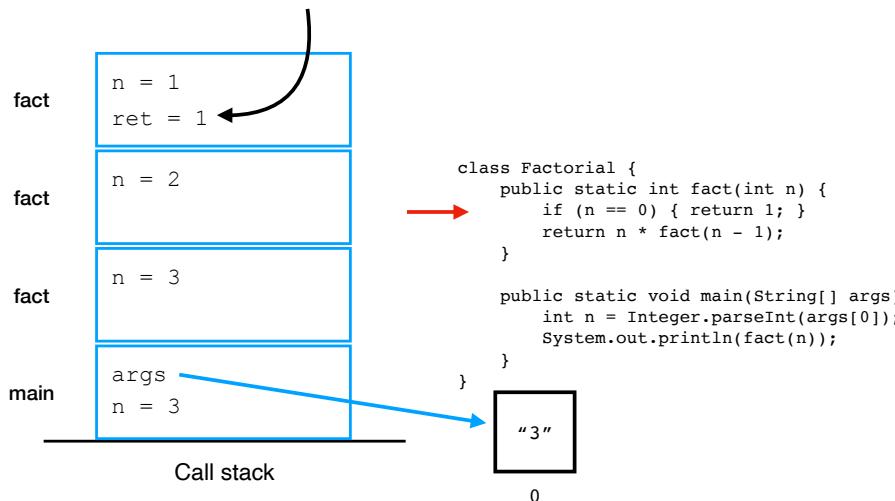
class Factorial {
    public static int fact(int n) {
        if (n == 0) { return 1; }
        return n * fact(n - 1);
    }

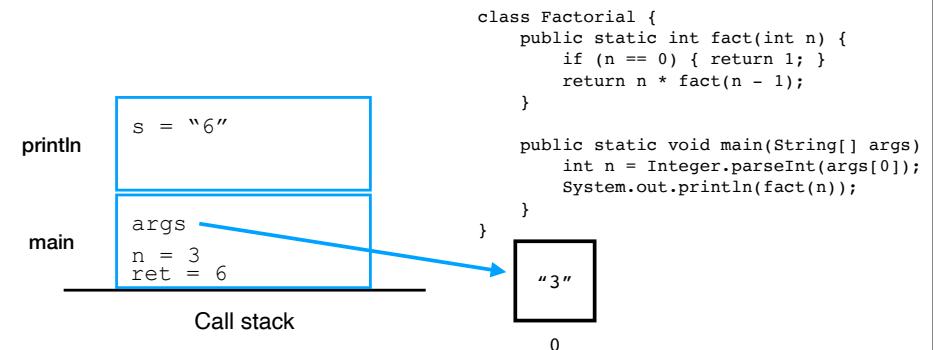
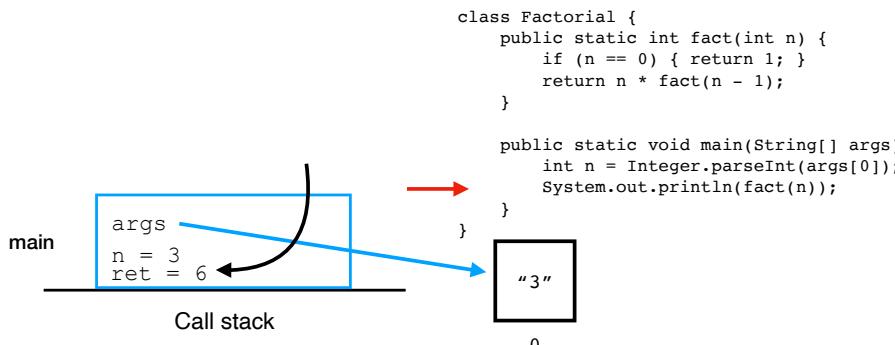
    public static void main(String[] args)
        int n = Integer.parseInt(args[0]);
        System.out.println(fact(n));
    }
}
  
```

“3”  
0

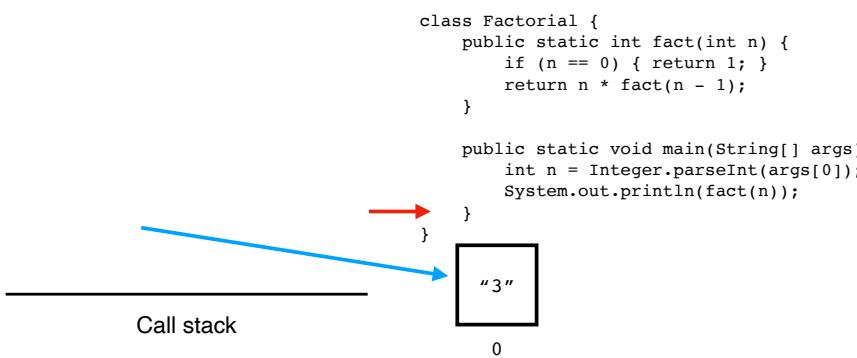


**Base case: recursion terminates.**





I skipped another subtlety here; did you spot it?



## Recursion tradeoffs

- Advantages
  - Often **easier** to construct recursive solution
  - Code is usually **cleaner**
  - Some problems do not have **obvious** non-recursive solutions
- Disadvantages
  - **Time cost** of recursive calls
  - **Memory cost** (need to store state for each recursive call until base case is reached)

## Mathematical Induction



## A note about “formal methods”



If the problem “fits” the mold, there is a procedure for determining truth.

## Mathematical Induction

- The **mathematical cousin** of **recursion** is **induction**
- Induction is a **proof technique**
- Purpose: to **simultaneously prove** an **infinite number** of theorems!

## Principle of Mathematical Induction

Let  $P(n)$  be a **predicate** that is defined for **integers**  $n$ , and let  $a$  be a **fixed integer**.

If the following two statements are **true**:

1.  $P(a)$  is **true**.
2. For all integers  $k \geq a$ , if  $P(k)$  is **true** then  $P(k + 1)$  is **true**.

then the statement

for all integers  $n \geq a$ ,  $P(n)$  is **true**

is **also true**.

## Principle of Mathematical Induction (variant)

Let  $P(n)$  be a **predicate** that is defined for **integers  $n$** , and let  $a$  be a **fixed integer**.

If the following two statements are **true**:

1.  $P(a)$  is **true**.
2. For all integers  $k > a$ , if  $P(k-1)$  is **true** then  $P(k)$  is **true**.

then the statement

for all integers  $n \geq a$ ,  $P(n)$  is **true**

is **also true**.

## To be clear:

If you want to prove that  $P(n)$  is **true** for all integers  $n \geq a$ ,

1. You must first prove that  $P(a)$  is **true**.
2. Then you must prove that:

For all integers  $k \geq a$ , if  $P(k)$  is **true** then  $P(k+1)$  is **true**.

**Critically**, when proving #2, **assume** that  $P(k)$  is **true** and **show** that  $P(k+1)$  **must also be true**.

## Names for things and “form”

Hypothesis:  $P(n)$  is **true** for all integers  $n \geq a$ ,

1. Base case:  $P(a)$  is **true**.
2. Inductive step:

For all integers  $k \geq a$ , if  $P(k)$  is **true** then  $P(k+1)$  is **true**.

## Like recursion, there is an analogy



Like recursion, there is an analogy



## Example

Prove that the sum of the first  $n$  integers is:

$$\frac{n(n+1)}{2}$$

## Example

Put another way, prove

$$P(n) : 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

for all  $n \geq 1$ .

We have an unbounded number of hypotheses ("for all  $n \geq 1$ ").

Use **mathematical induction**.

## Remember the template!

Step 1: Prove **P(a)**

Step 2: Prove  **$P(k) \Rightarrow P(k+1)$**

Therefore,

$$P(n) : 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

**For all  $n \geq 1$ .**

Is **true**.

## Example

Step 1: Prove **P(a)**

What would a good **a** be?

$$P(n) : 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

The “simplest” instance is **a = 1**. Let’s start there.

## Example

Step 1: Prove **P(a)**

$$P(a) : 1 = \frac{1(1+1)}{2}$$

Is this statement true? **Yes.**

$$\text{Proof: } \frac{1(1+1)}{2} = \frac{2}{2} = 1$$

## Example

Step 2: Prove **P(k)  $\Rightarrow$  P(k+1)**

Assume the following is true:

$$P(k) : 1 + 2 + 3 + \dots + k = \frac{k(k+1)}{2}$$

Prove:

$$P(k+1) : 1 + 2 + 3 + \dots + (k+1) = \frac{(k+1)((k+1)+1)}{2}$$

## Example

Step 2: Prove **P(k)  $\Rightarrow$  P(k+1)**

$$P(k+1) : 1 + 2 + 3 + \dots + (k+1) = \frac{(k+1)((k+1)+1)}{2}$$

Let’s handle the left side first.

$$1 + 2 + 3 + \dots + (k+1)$$

Looks familiar. Isn’t it the same as:

$$(1 + 2 + 3 + \dots + k) + (k+1)$$

## Example

Step 2: Prove  $P(k) \Rightarrow P(k+1)$

$$(1 + 2 + 3 + \dots + k) + (k + 1)$$

According to  $P(k)$ , which is true,  
it must be equal to:

$$(1 + 2 + 3 + \dots + k) + (k + 1) = \frac{k(k+1)}{2} + (k + 1)$$

## Example

Step 2: Prove  $P(k) \Rightarrow P(k+1)$

Simplify

$$= \frac{k(k+1)}{2} + (k + 1)$$

$$= \frac{k(k+1)}{2} + \frac{2(k+1)}{2}$$

$$= \frac{k(k+1) + 2(k+1)}{2}$$

Let's stop here.  
The left side is

$$= \frac{(k+1)(k+2)}{2}$$

## Example

Step 2: Prove  $P(k) \Rightarrow P(k+1)$

$$\textcolor{red}{P(k+1)} : 1 + 2 + 3 + \dots + (k + 1) = \frac{(k+1)((k+1)+1)}{2}$$

Let's handle the right side now.

$$\frac{(k+1)((k+1)+1)}{2}$$

Simplify

$$\frac{(k+1)(k+2)}{2}$$

Let's stop here.

## Example

Step 2: Prove  $P(k) \Rightarrow P(k+1)$

$$\textcolor{red}{P(k+1)} : 1 + 2 + 3 + \dots + (k + 1) = \frac{(k+1)((k+1)+1)}{2}$$

We just showed that the left side

$$\frac{(k+1)(k+2)}{2}$$

equals the right side

$$\frac{(k+1)(k+2)}{2}$$

## Example

Step 1: Prove  $P(a)$  ✓

Step 2: Prove  $P(k) \Rightarrow P(k+1)$  ✓

Therefore,

$$P(n) : 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

For all  $n \geq 1$ .

Is true. ✓

## Recap & Next Class

### Today:

- Recursion costs
- Mathematical induction

### Next class:

- ADTs
- Lists