

CSCI 136:  
Data Structures  
and  
Advanced Programming  
Lecture 12  
Mathematical Induction

Instructor: Dan Barowy

**Williams**

## Announcements

- Come to colloquium today— it's never too early to start getting credit! (also, it's fun)
- 2:30pm in Wege Auditorium (TCL 123) every Friday

## Outline

1. Mathematical induction
2. Example proofs

## Mathematical Induction



## A note about “formal methods”



If the problem “fits” the mold, there is a procedure for determining truth.

## Mathematical Induction

- The **mathematical cousin** of **recursion** is **induction**
- Induction is a **proof technique**
- Purpose: to **simultaneously prove** an **infinite number** of theorems!

## Principle of Mathematical Induction

Let  $P(n)$  be a **predicate** that is defined for **integers**  $n$ , and let  $a$  be a **fixed integer**.

**If** the following two statements are **true**:

1.  $P(a)$  is **true**.
2. For all integers  $k \geq a$ , **if**  $P(k)$  **is true then**  $P(k + 1)$  **is true**.

**then** the statement

for all integers  $n \geq a$ ,  $P(n)$  is **true**

is **also true**.

## Principle of Mathematical Induction (variant)

Let  $P(n)$  be a **predicate** that is defined for **integers**  $n$ , and let  $a$  be a **fixed integer**.

**If** the following two statements are **true**:

1.  $P(a)$  is **true**.
2. For all integers  $k > a$ , **if**  $P(k-1)$  **is true then**  $P(k)$  **is true**.

**then** the statement

for all integers  $n \geq a$ ,  $P(n)$  is **true**

is **also true**.

To be clear:

If you want to prove that  $P(n)$  is true for all integers  $n \geq a$ ,

1. You must first prove that  $P(a)$  is true.
2. Then you must prove that:

For all integers  $k \geq a$ , if  $P(k)$  is true then  $P(k+1)$  is true.

**Critically**, when proving #2, **assume** that  $P(k)$  is true and **show** that  $P(k+1)$  must also be true.

Names for things and "form"

Hypothesis:  $P(n)$  is true for all integers  $n \geq a$ ,

1. Base case:  $P(a)$  is true.
2. Inductive step:

For all integers  $k \geq a$ , if  $P(k)$  is true then  $P(k+1)$  is true.

Like recursion, there is an analogy



Like recursion, there is an analogy



## Example

Prove that the sum of the first  $n$  integers is:

$$\frac{n(n+1)}{2}$$

## Example

Put another way, prove

$$P(n) : 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

for all  $n \geq 1$ .

We have an unbounded number of hypotheses ("for all  $n \geq 1$ ").

Use mathematical induction.

## Remember the template!

Step 1: Prove  $P(a)$

Step 2: Prove  $P(k) \Rightarrow P(k+1)$

Therefore,

$$P(n) : 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

For all  $n \geq 1$ .

Is **true**.

## Example

Step 1: Prove  $P(a)$

What would a good  $a$  be?

$$P(n) : 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

The "simplest" instance is  $a = 1$ . Let's start there.

## Example

Step 1: Prove **P(a)**

$$P(a) : 1 = \frac{1(1+1)}{2}$$

Is this statement true? **Yes.**

$$\text{Proof: } \frac{1(1+1)}{2} = \frac{2}{2} = 1$$

## Example

Step 2: Prove **P(k) ⇒ P(k+1)**

Assume the following is true:

$$P(k) : 1 + 2 + 3 + \dots + k = \frac{k(k+1)}{2}$$

Prove:

$$P(k+1) : 1 + 2 + 3 + \dots + (k+1) = \frac{(k+1)((k+1)+1)}{2}$$

## Example

Step 2: Prove **P(k) ⇒ P(k+1)**

$$P(k+1) : 1 + 2 + 3 + \dots + (k+1) = \frac{(k+1)((k+1)+1)}{2}$$

Let's handle the left side first.

$$1 + 2 + 3 + \dots + (k+1)$$

Looks familiar. Isn't it the same as:

$$(1 + 2 + 3 + \dots + k) + (k+1)$$

## Example

Step 2: Prove **P(k) ⇒ P(k+1)**

$$(1 + 2 + 3 + \dots + k) + (k+1)$$

According to P(k), which is true,  
it must be equal to:

$$(1 + 2 + 3 + \dots + k) + (k+1) = \frac{k(k+1)}{2} + (k+1)$$

## Example

Step 2: Prove  $P(k) \Rightarrow P(k+1)$

Simplify

$$\begin{aligned} &= \frac{k(k+1)}{2} + (k+1) \\ &= \frac{k(k+1)}{2} + \frac{2(k+1)}{2} \\ &= \frac{k(k+1) + 2(k+1)}{2} \\ &= \frac{(k+1)(k+2)}{2} \end{aligned}$$

Let's stop here.  
The left side is

## Example

Step 2: Prove  $P(k) \Rightarrow P(k+1)$

$$P(k+1) : 1 + 2 + 3 + \dots + (k+1) = \frac{(k+1)((k+1)+1)}{2}$$

Let's handle the right side now.

$$\frac{(k+1)((k+1)+1)}{2}$$

Simplify

$$\frac{(k+1)(k+2)}{2}$$

Let's stop here.

## Example

Step 2: Prove  $P(k) \Rightarrow P(k+1)$

$$P(k+1) : 1 + 2 + 3 + \dots + (k+1) = \frac{(k+1)((k+1)+1)}{2}$$

We just showed that the left side

$$\frac{(k+1)(k+2)}{2}$$

equals the right side

$$\frac{(k+1)(k+2)}{2}$$

## Example

Step 1: Prove  $P(a)$  ✓

Step 2: Prove  $P(k) \Rightarrow P(k+1)$  ✓

Therefore,

$$P(n) : 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

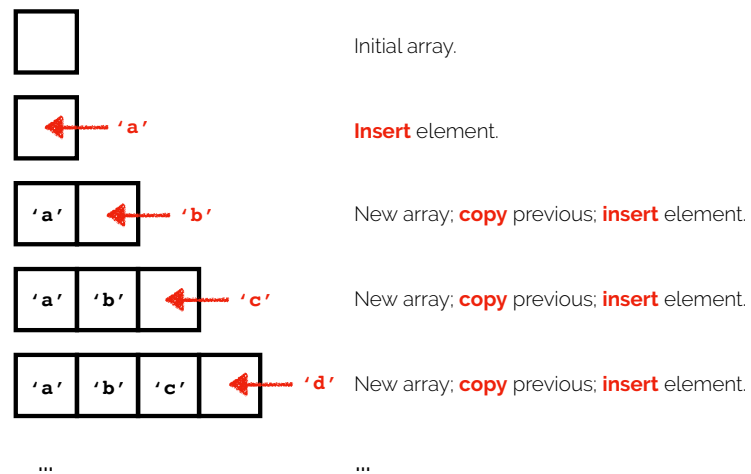
For all  $n \geq 1$ .

Is true. ✓

## Expanding vectors: why double?

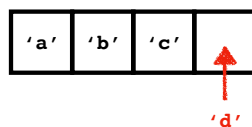
Why is the **array doubling** strategy for Vector **better** than expanding the array **one element at a time**?

## One-at-a-time expansion



## Insertion into an array

How much does **array insertion** cost?



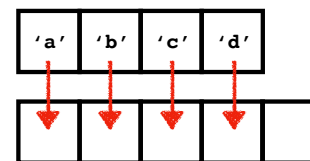
It costs  **$O(1)$** .

In fact, lookup and insertion both cost  **$O(1)$** .

Tradeoff: arrays are fixed size.

## Copying an array

How much does an **array copy** cost?



It costs  **$O(1) \times m$** , where  **$m$**  is the size of the original array.

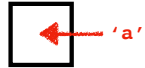
**$\approx O(m)$**

## One-at-a-time expansion costs?

(in the worst case, each time)



Initial array.



**Insert** element.



New array; **copy** previous; **insert** element.

$O(m) + O(1) \approx O(m)$ , where **m** is the size of the original array.

Cost is **dominated by the size of the array** being copied.

## How many copies?

# of copies for one-at-a-time expansion:

$$\text{add}() \quad \begin{array}{ccccccc} \mathbf{1} & + & \mathbf{2} & + & \mathbf{3} & + & \dots & + & \mathbf{(n-1)} \\ \text{2nd} & & \text{3rd} & & \text{4th} & & & & \text{nth} \\ \text{elem.} & & \text{elem.} & & \text{elem.} & & & & \text{elem.} \end{array}$$

Recall theorem:  $1 + 2 + 3 + \dots + k = k(k+1)/2$

Sub  $n-1$  for  $k$ :  $(n-1)((n-1)+1)/2 = n(n-1)/2$

$$= n^2/2 - n/2$$

One-at-a-time expansion costs  $\approx O(n^2)$

## How many copies?

# of copies for doubling expansion:

$$\text{add}() \quad \begin{array}{ccccccc} \mathbf{1} & + & \mathbf{2} & + & \mathbf{4} & + & \dots & + & \mathbf{(n/2)} \\ \text{up to} & & \text{up to} & & \text{up to} & & & & \text{up to} \\ \text{2nd} & & \text{4th} & & \text{8th} & & & & \text{nth} \\ \text{elem.} & & \text{elem.} & & \text{elem.} & & & & \text{elem.} \end{array}$$

Neat theorem:  $1 + 2 + 4 + \dots + 2^{k-1} = 2^k - 1$

Suppose  $n = 2^k$ .

$$\text{Then } 1 + \dots + n/2 = 1 + \dots + 2^{k/2}$$

$$= 1 + \dots + 2^{k-1} = 2^k - 1 = n - 1$$

Doubling expansion costs  $\approx O(n)$

Which is faster?



One-at-a-time expansion costs  $\approx O(n^2)$



Doubling expansion costs  $\approx O(n)$



Doubling is Vin Diesel-approved.



## Activity

Prove:  $n$  cents can be obtained by using only 3-cent and 8-cent coins, for all  $n \geq 15$ .

## Recap & Next Class

Today we learned:

Mathematical induction

Next class:

Sorting