

# CSCI 136

## Data Structures & Advanced Programming

Lecture 6

Fall 2019

Instructors: Bill & Sam

# Last Time

- Finished Java overview/review
- Introduction to Vectors
  - Example: Word Frequencies
  - Vector instance variable and method declarations
  - First details of implementation

# Today's Outline

## From Previous Lecture Slides

- Vector Implementation
- Miscellany: Wrappers
- Lab 2 Design and Strategies

## Today's Slides

- Generic Data Types
- Condition Checking
  - Pre- and post-conditions, Assertions
- Measuring Program Resource Use

# Recall: Vectors

- Vectors are collections of Objects
- Methods include:
  - `add(Object o)`, `remove(Object o)`
  - `contains(Object o)`
  - `indexOf(Object o)`
  - `get(int index)`, `set(int index, Object o)`
  - `remove(int index)`
  - `add(int index, Object o)`
  - `size()`, `isEmpty()`
- Remove methods preserve order, close “gap”

# Using Generic (Parameterized) Types

- What limitations are associated with casting Objects as they are added and removed from Associations?
  - Errors cannot be detected by compiler
  - Must rely on runtime checks
- Instead of casting Objects, Java supports using generic or parameterized data types (Read Ch 4)

- **Instead of:**

```
Association a = new Association("Bill", (Integer) 97);  
Integer grade = (Integer) a.getValue(); //Cast to Integer
```

- **Use:**

```
Association<String, Integer> a =  
    new Association<String, Integer>("Bill", (Integer) 97);  
Integer grade = a.getValue(); //no cast!
```

# Generic Association<K,V> Class

```
class Association<K,V> {
    protected K theKey;
    protected V theValue;

    //pre: key != null
    public Association (K key, V value) {
        Assert.pre (key != null, "Null key");
        theKey = key;
        theValue = value;
    }

    public K getKey() {return theKey;}
    public V getValue() {return theValue;}
    public V setValue(V value) {
        V old = theValue;
        theValue = value;
        return old;
    }
}
```

# Making Vector Generic

- Structure5 provides a generic version of Vector
  - And of all of the data structures in the structure package
  - Instead of:

```
Vector v = new Vector(); //Vector of Objects
String word = (String)v.get(index); //Cast to String
```
  - Use:

```
Vector<String> v = new Vector<String>(); //Vector of Strings
String word = v.get(index); //no cast!
```
  - Or:

```
Vector<Association<String, Integer>> v =
    new Vector<Association<String, Integer>>();
int count = v.get(index).getValue(); //no cast!
```
  - See GenWordFreq.java...

(Look at WordFreq.java with gen)

# Class Vector<E>

```
public class Vector<E> {  
    private Object[] elementData;    // Underlying array  
    protected int elementCount;    // Number of elts in Vector  
    protected final static int defaultCapacity;  
    protected int capacityIncrement; // How much to grow by  
    protected E initialValue;    // A default elt value  
}
```

- Why (still!) Object[]?
  - Java restriction: Can't use a type variable for an array declaration, only a concrete type



# Basic Vector<E> Methods

```
public class Vector<E> {
public Vector()           // Make a small Vector
public Vector(int initCap) // Make Vector of given capacity
public void add(E elt)    // Add elt to (high) end of Vector
public void add(int i, E elt) // Add elt at position i
public E remove(E elt)    // Remove (and return) elt
public E remove(int i)    // Remove (and return) elt at pos i
public int capacity()     // Return capacity
public int size()         // Return current size
public boolean isEmpty()  // Is size == 0?
public boolean contains(E elt) // Is elt in Vector?
public E get(int i)       // Return elt at position i
public E set(int i, E elt) // Change value at position i
public int indexOf(E elt) // Return earliest position of elt
}
```

# Lab 2 Preview

- Three classes:
  - FrequencyList.java
  - Table.java
  - WordGen.java
- Two Vectors of Associations
- toString() in Table and FrequencyList for debugging
- What are the key stages of execution?
  - Test code thoroughly before moving on to next stage
- Use GenWordFreq as example

# Lab 2: Core Tasks

- **FrequencyList**
  - A Vector of Associations of String and Integer
  - Add a letter
    - Is it a new letter or not?
    - Use indexOf from Vector class
- **Pick a random letter based on frequencies**
  - Let total = sum of frequencies in FL
  - generate random int r in range [0...total]
  - Find smallest k s.t.  $r \leq$  sum of first k frequencies

# Lab 2: Core Tasks

- Table
  - A Vector of Associations of String and FrequencyList
  - Add a letter to a k-gram
    - Is it a new k-gram or not?
  - Pick a random letter given a k-gram
    - Find the k-gram then ask its FrequencyList to pick
- WordGen
- Convert input into (very long) String
  - Use a StringBuffer---see handout

# Pre and Post Conditions

- Recall `charAt(int index)` in Java String class
- What are the pre-conditions for `charAt`?
  - $0 \leq \text{index} < \text{length}()$
- What are the post-conditions?
  - Method returns char at position `index` in string
- We put pre and post conditions in comments above most methods

```
/* pre:  $0 \leq \text{index} < \text{length}$ 
 * post: returns char at position index
 */
public char charAt(int index) { ... }
```

# Pre and Post Conditions

- Pre and post conditions “form a contract”
- Post-condition is guaranteed if method is called when pre-condition is true
- Examples:
  - `s.charAt(s.length() - 1)`:  $\text{index} < \text{length}$ , so valid
  - `s.charAt(s.length() + 1)`:  $\text{index} > \text{length}$ , not valid
- These conditions document requirements that user of method should satisfy
- But, as comments, they are not enforced

# Other Examples

- Other places pre and post conditions are useful

```
// Pre: other is of type Card
// Post: Returns true if suits and ranks match
public boolean equals(Object other) {
    Card oc = (Card) other;
    return this.getRank() == oc.getRank() &&
           this.getSuit() == oc.getSuit();
}
```

# Assert Class

- Pre- and post-condition comments are important for *documenting* code.
- BUT: It would be *even better* in some cases that a pre-condition was violated.
- Program could catch error and gracefully halt
- The Assert class (in structure5 package) allows us to programmatically check for pre- and post-conditions



# Assert Class

The Assert class contains the methods

```
public static void pre(boolean test, String message);  
public static void post(boolean test, String message);  
public static void condition(boolean test, String message);  
public static void fail(String message);
```

If the boolean test is **NOT** satisfied, an exception is raised, the message is printed and the program halts

# Assert Examples

The Vector class uses Assert in many places

```
// Pre: initialCapacity >= 0
public Vector(int initialCapacity) {
    Assert.pre(initialCapacity >= 0, "Capacity
        must not be negative");

// Pre: 0 <= index && index < size()
public E elementAt(int index) {
    Assert.pre(0 <= index && index < size(), "index
        is within bounds");
```

# General Rules about Assert

1. State pre/post conditions in comments
  2. Check conditions in code using “Assert”
  3. Use Fail in unexpected cases (such as the default block of a switch statement)
- Any questions?
  - You should start using Assertions in Lab 2

# The Java assert keyword

- An alternative to Duane's Assert class
- Added in Java 1.4
- Two variants
  - `assert boolean_expression`
    - Throws an `AssertionError` if the expression is false
  - `assert boolean_expression : other_expression`
    - In addition, prints value of `other_expression`

# Measuring Computational Cost

Consider these two code fragments...

```
for (int i=0; i < arr.length; i++)  
    if (arr[i] == x) return "Found it!";
```

...and...

```
for (int i=0; i < arr.length; i++)  
    for (int j=0; j < arr.length; j++)  
        if( i !=j && arr[i] == arr[j]) return "Match!";
```

How long does it take to execute each block?

# Measuring Computational Cost

- How can we measure the amount of work needed by a computation?
  - Absolute clock time
    - Problems?
      - Different machines have different clocks
      - Too much other stuff happening (network, OS, etc)
      - Not consistent. Need lots of tests to predict future behavior

# Measuring Computational Cost

- Counting computations
  - Count *all* computational steps?
  - Count how many “expensive” operations were performed?
  - Count number of times “x” happens?
    - For a specific event or action “x”
    - i.e., How many times a certain variable changes
- Question: How accurate do we need to be?
  - 64 vs 65? 100 vs 105? Does it really matter??

# An Example

```
// Pre: array length n > 0
public static int findPosOfMax(int[] arr) {
    int maxPos = 0 // A wild guess
    for(int i = 1; i < arr.length; i++)
        if (arr[maxPos] < arr[i]) maxPos = i;
    return maxPos;
}
```

- Can we count steps exactly?
  - "if" makes it hard
- Idea: Overcount: assume "if" block always runs
- Overcounting gives *upper bound* on run time
- Can also undercount for lower bound
- Overcount:  $4(n-1) + 4$ ; undercount:  $3(n-1) + 4$



# Measuring Computational Cost

- Rather than keeping exact counts, we want to know the *order of magnitude* of occurrences
  - 60 vs 600 vs 6000, *not* 65 vs 68
  - $n$ , *not*  $4(n-1) + 4$
- We want to make comparisons without looking at details and without running tests
- Avoid using specific numbers or values
- Look for overall trends

# Measuring Computational Cost

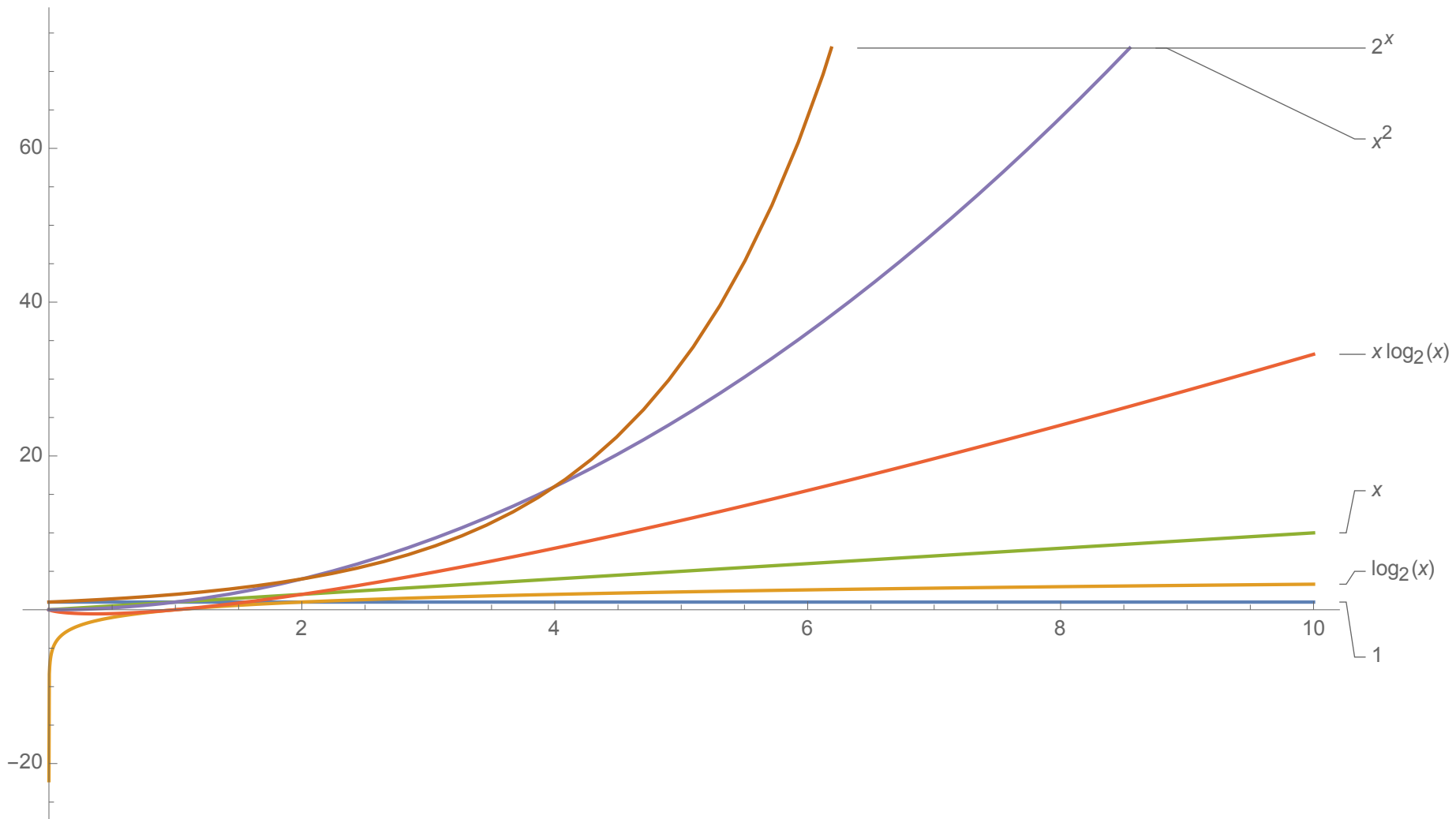
- How does algorithm scale with problem size?
  - E.g.: If I double the size of the problem instance, how much longer will it take to solve:
    - Find maximum:  $n - 1 \rightarrow (2n) - 1$  ( $\approx$  twice as long)
    - Bubble sort:  $n(n-1)/2 \rightarrow 2n(2n - 1)/2$  ( $\approx$  4 times as long)
    - Subset sum:  $2^{n-1} \rightarrow 2^{2n-1}$  ( $2^n$  times as long!!!)
    - Etc.
- We will also measure amount of space used by an algorithm using the same ideas....

# Function Growth

Consider the following functions, for  $x \geq 1$

- $f(x) = 1$
- $g(x) = \log_2(x)$  // Reminder: if  $x=2^n$ ,  $\log_2(x) = n$
- $h(x) = x$
- $m(x) = x \log_2(x)$
- $n(x) = x^2$
- $p(x) = x^3$
- $r(x) = 2^x$

# Function Growth



# Function Growth & Big-O

- Rule of thumb: ignore multiplicative constants
- Examples:
  - Treat  $n$  and  $n/2$  as same order of magnitude
  - $n^2/1000$ ,  $2n^2$ , and  $1000n^2$  are “pretty much” just  $n^2$
  - $a_0n^k + a_1n^{k-1} + a_2n^{k-2} + \dots + a_k$  is roughly  $n^k$
- The key is to find the most *significant* or *dominant* term
- Ex:  $\lim_{x \rightarrow \infty} (3x^4 - 10x^3 - 1)/x^4 = 3$  (Why?)
  - So  $3x^4 - 10x^3 - 1$  grows “like”  $x^4$

# Asymptotic Bounds (Big-O Analysis)

- A function  $f(n)$  is  $O(g(n))$  if and only if there exist positive constants  $c$  and  $n_0$  such that

$$|f(n)| \leq c \cdot g(n) \text{ for all } n \geq n_0$$

- $c \cdot g$  is “at least as big as”  $f$  **for large  $n$** 
  - Up to a multiplicative constant  $c$ !
- Example:
  - $f(n) = n^2/2$  is  $O(n^2)$
  - $f(n) = 1000n^3$  is  $O(n^3)$
  - $f(n) = n/2$  is  $O(n)$

# Determining “Best” Upper Bounds

- We typically want the *most conservative* upper bound when we estimate running time
  - And among those, the *simplest*
- Example: Let  $f(n) = 3n^2$ 
  - $f(n)$  is  $O(n^2)$
  - $f(n)$  is  $O(n^3)$
  - $f(n)$  is  $O(2^n)$  (see next slide)
  - $f(n)$  is NOT  $O(n)$  (!!)
- “Best” upper bound is  $O(n^2)$
- We care about **c** and **n<sub>0</sub>** in practice, but focus on size of **g** when designing algorithms and data structures

# What's $n_0$ ? Messy Functions

- Example: Let  $f(n) = 3n^2 - 4n + 1$ .  $f(n)$  is  $O(n^2)$ 
  - Well,  $3n^2 - 4n + 1 \leq 3n^2 + 1 \leq 4n^2$ , for  $n \geq 1$
  - So, for  $c = 4$  and  $n_0 = 1$ , we satisfy Big-O definition
- Example: Let  $f(n) = n^k$ , for any fixed  $k \geq 1$ .  $f(n)$  is  $O(2^n)$ 
  - Harder to show: Is  $n^k \leq c 2^n$  for some  $c > 0$  and large enough  $n$ ?
  - It is if and only if  $\log_2(n^k) \leq \log_2(2^n)$ , that is, iff  $k \log_2(n) \leq n$ .
  - That is iff  $k \leq n/\log_2(n)$ . But  $n/\log_2(n) \rightarrow \infty$  as  $n \rightarrow \infty$
  - This implies that for some  $n_0$  on  $n/\log_2(n) \geq k$  if  $n \geq n_0$
  - Thus  $n \geq k \log_2(n)$  for  $n \geq n_0$  and so  $2^n \geq n^k$



# Input-dependent Running Times

- Algorithms may have different running times for different input values
- Best case (typically not useful)
  - BubbleSort already sorted array:  $O(n)$
  - Find item in first place that we look:  $O(1)$
- Worst case (generally useful, sometimes misleading)
  - Don't find item in list:  $O(n)$
  - BubbleSort array that's in reverse order:  $O(n^2)$
- Average case (useful, but often hard to compute)
  - Linear search  $O(n)$
  - QuickSort random array  $O(n \log n)$  ← We'll sort soon

# Vector Operations : Worst-Case

For  $n = \text{Vector size}$  (*not capacity!*):

- $O(1)$ : `size()`, `capacity()`, `isEmpty()`, `get(i)`, `set(i)`, `firstElement()`, `lastElement()`
- $O(n)$ : `indexOf()`, `contains()`, `remove(elt)`, `remove(i)`
- What about add methods?
  - If Vector doesn't need to grow
    - `add(elt)` is  $O(1)$  but `add(elt, i)` is  $O(n)$
  - Otherwise, depends on `ensureCapacity()` time
    - Time to compute `newLength` :  $O(\log_2(n))$
    - Time to copy array:  $O(n)$
    - $O(\log_2(n)) + O(n)$  is  $O(n)$

# Vector: Add Method Complexity

Suppose we grow the Vector's array by a fixed amount  $d$ . How long does it take to add  $n$  items to an empty Vector?

- The array will be copied each time its capacity needs to exceed a multiple of  $d$ 
  - At sizes  $0, d, 2d, \dots, n/d$
- Copying an array of size  $kd$  takes  $ckd$  steps for some constant  $c$ , giving a total of

$$\sum_{k=1}^{n/d} c \cdot k \cdot d = c \cdot d \sum_{k=1}^{n/d} k = c \cdot d \cdot \frac{(n/d)(n/d + 1)}{2} = O(n^2)$$

# Vector: Add Method Complexity

Suppose we want to grow the Vector's array by doubling. How long does it take to add  $n$  items to an empty Vector?

- The array will be copied each time it's capacity needs to exceed a power of 2.
  - At sizes 0, 1, 2, 4, 8, ...,  $2^{\log_2 n}$
- Copying an array of size  $2^k$  takes  $c2^k$  steps for some constant  $c$ , giving a total of:

$$\sum_{k=1}^{\log_2 n} c \cdot 2^k = c \sum_{k=1}^{\log_2 n} 2^k = c \cdot (2^{1+\log_2 n} - 1) = O(n)$$

# Common Complexities

For  $n$  = measure of problem size:

- $O(1)$ : constant time and space
- $O(\log n)$ : divide and conquer algorithms, binary search
- $O(n)$ : linear dependence, simple list lookup
- $O(n \log n)$ : divide and conquer sorting algorithms
- $O(n^2)$ : matrix addition, selection sort
- $O(n^3)$ : matrix multiplication
- $O(n^{1.2})$ : Original AKS primality test for  $n$ -bit integers
- $O(2^n)$ : subset sum, graph 3-coloring, satisfiability, ...
- $O(n!)$ : traveling salesman problem (in fact  $O(n^2 2^n)$ )