

**CSCI 136**  
**Data Structures &**  
**Advanced Programming**

**Lecture 18**

**Fall 2019**

**Instructor: B&S**

# Administrative Details

- Lab 7 Today: PostScript
  - No partners this week
  - Review before lab; come to lab with design doc
  - Check out the javadoc pages for the 3 provided classes
    - [Token](#) – A wrapper for semantic PS elements,
    - [Reader](#) – An iterator to produce a stream of Tokens from standard input or a List of Tokens,
    - [SymbolTable](#) – A dictionary with String keys and Token values: For user-defined names

# Last Time:

- Ordered Structures
- Trees
  - Structure, Terminology, Examples

# Today

- Trees
  - Implementation
  - Recursion/Induction on Trees
  - Applications
  - Traversals

# Type Safety & Generic Types

- Question: Since String extends Object, does List<String> extend List<Object>?
  - I.e., can I say List<Object> = new List<String>()?
- No. It would compromise the type system:

```
List<String> slist = new List<String>();  
List<Object> olist = slist;    // If this were possible  
olist.add(new Object());      // This would be bad!
```
- It generates a compiler error.
- On the other hand...

```
String[] sa = {"I", "love", "java", "!"};  
Object[] oa = sa;  
oa[1] = new Object(); // This would be bad!
```
- ...actually compiles
  - But causes a run-time error!

# Introducing Trees

- Our structures have had a linear organization
  - Stacks, queues
  - Even ordered vectors, ordered lists, arrays, vectors, lists are visualized linearly
- By linear we essentially mean that each element has at most one successor and at most one predecessor...

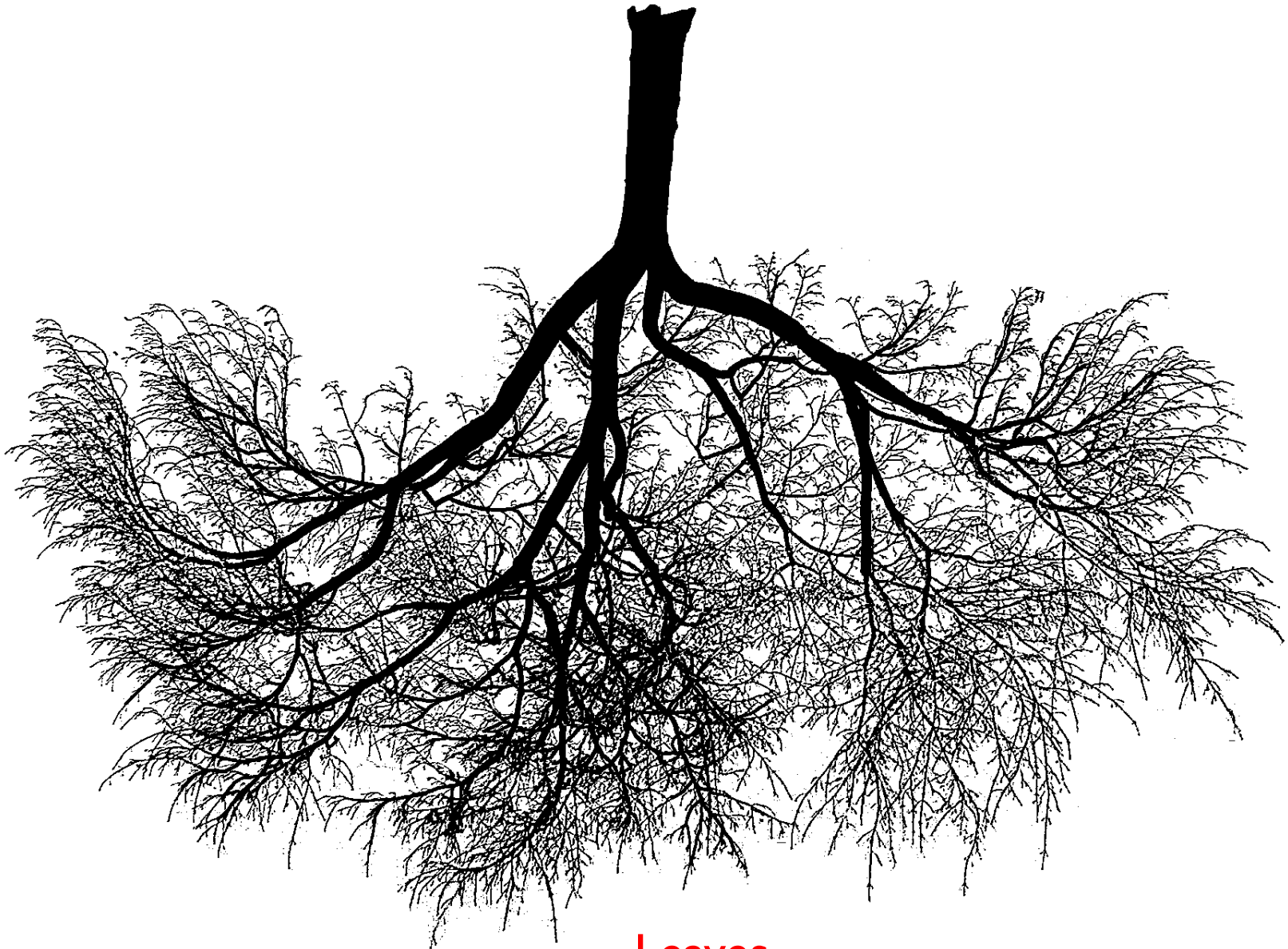


# Branching Out: Trees

- A tree is a data structure where elements can have multiple successors (called children)
- But still only one predecessor (called parent)

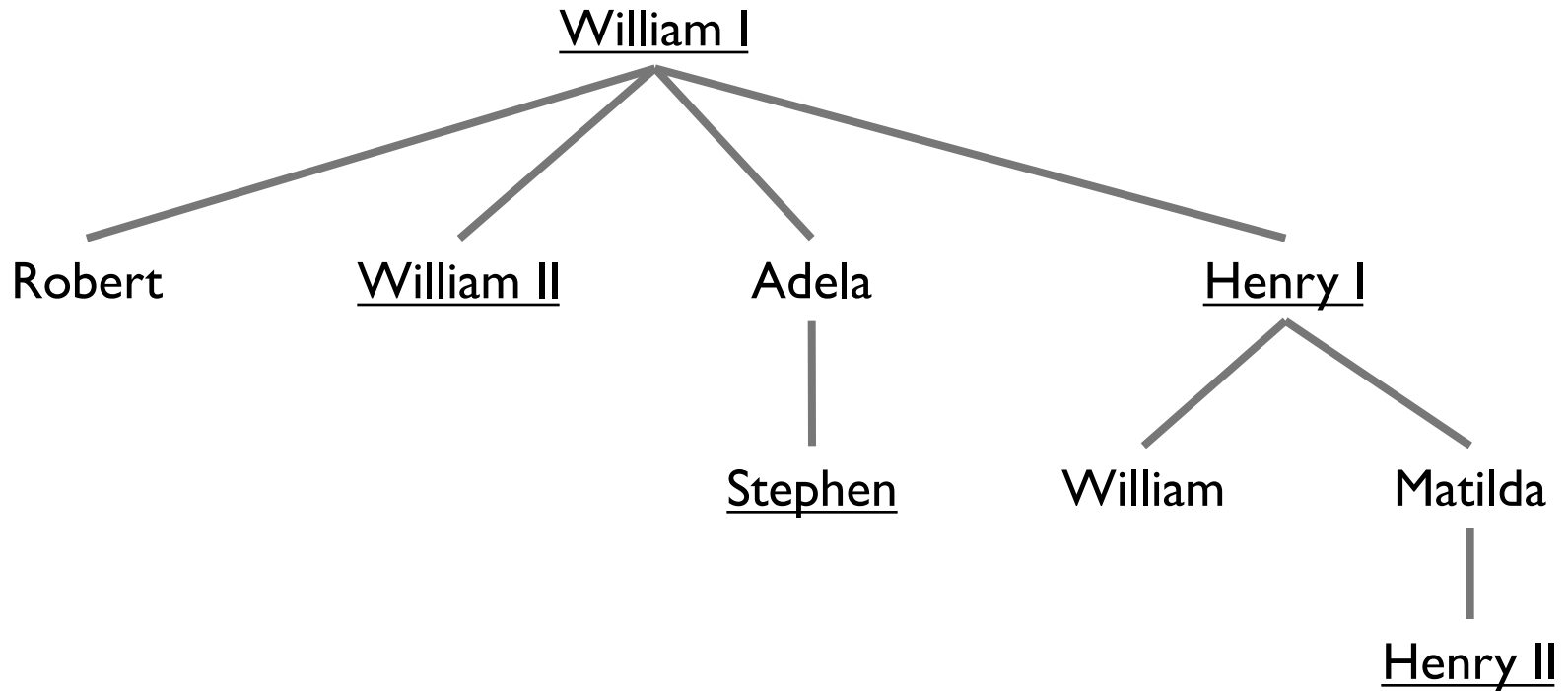


Root



Leaves

# House of Normandy, Battle of Hastings, 1066

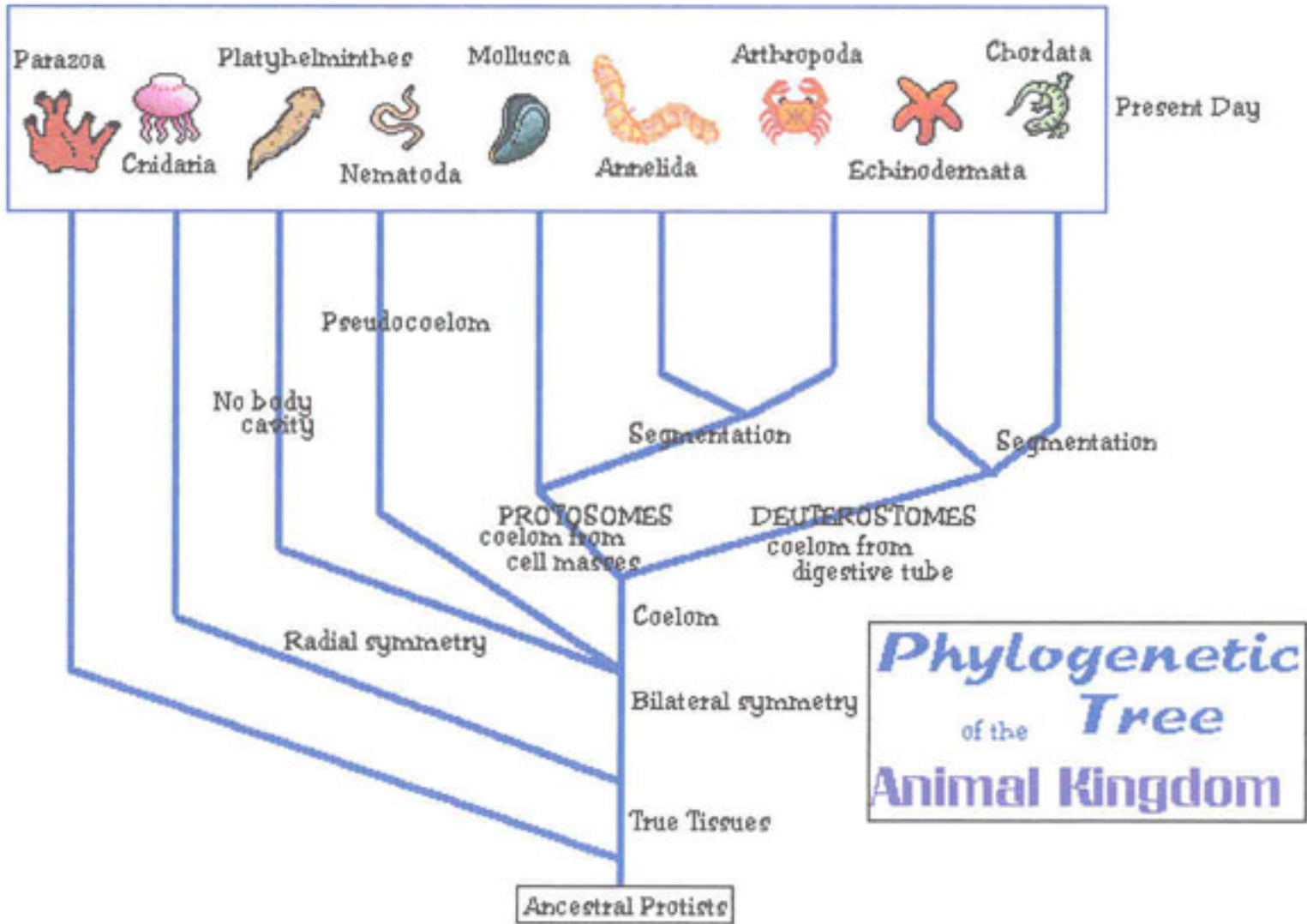


# Tree Features

- Hierarchical relationship
- **Root** at the top
- **Leaf** at the bottom
- **Interior nodes** in middle
- Parents, children, ancestors, descendants, siblings
- **Degree (of node)**: number of children of node
- **Degree (of tree)**: maximum degree (across all nodes)
- **Depth** of node: number of *edges* from root to node
- **Height** of tree: maximum depth (across all nodes)

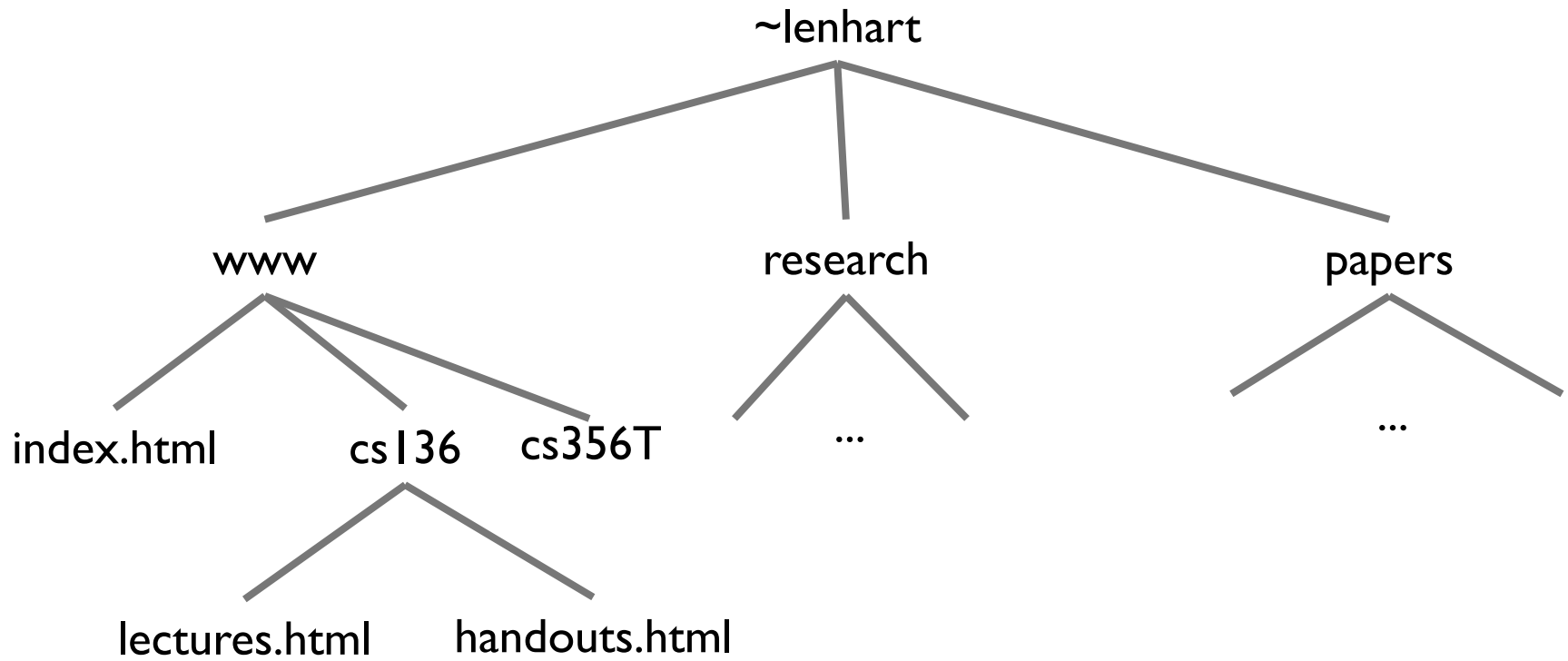
# Other Trees

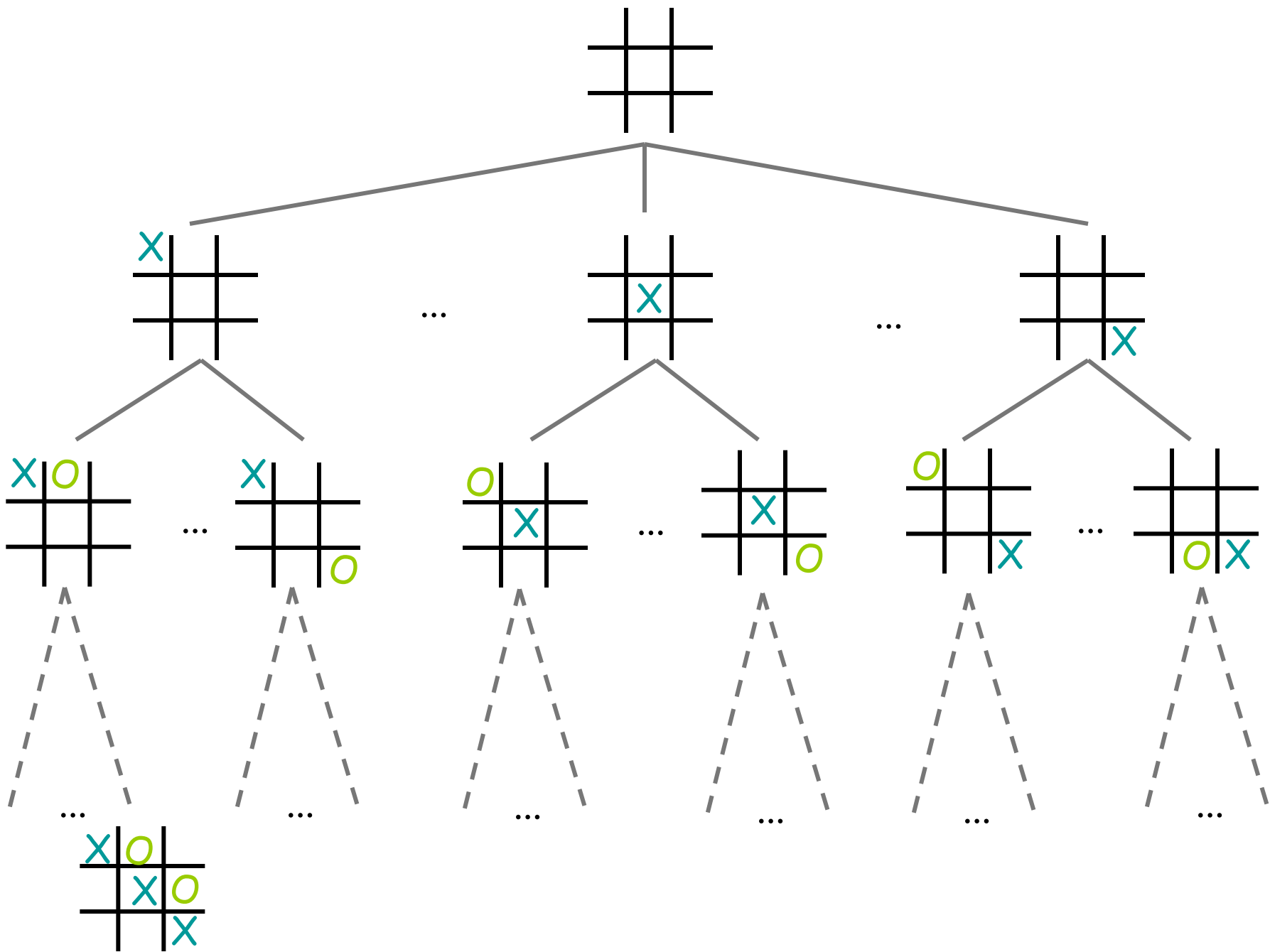
- Phylogenetic tree
- Directories of files
- Game trees
  - Build a tree
  - Search it for moves with high likelihood of winning
- Expression trees



Miocene 10 Pliocene 5 Pleistocene 0 Millions of Years Before Present







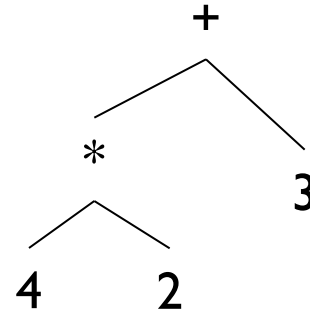


# Tree Features

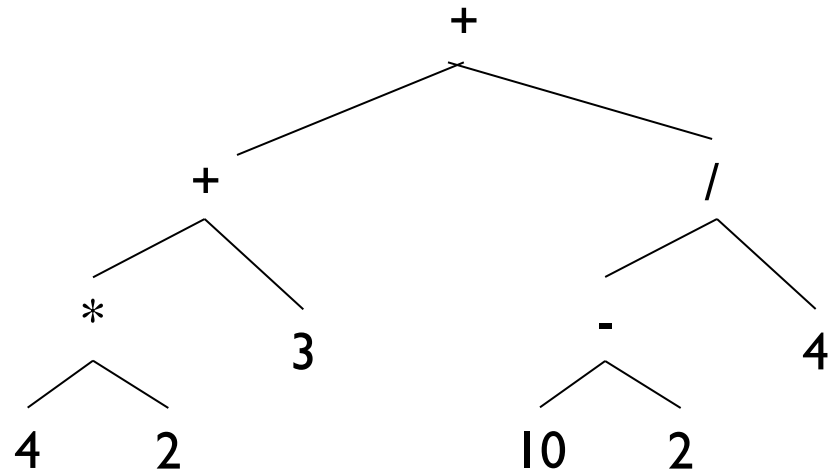
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# Expression Trees

$4 * 2 + 3$



$(4 * 2 + 3) + ((10 - 2) / 4)$

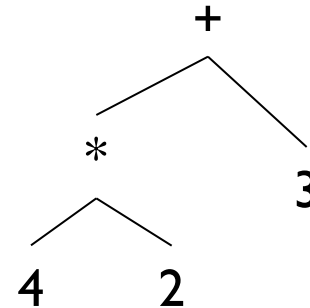


# Introducing Binary Trees

- Degree of each node at most 2
- Every tree is either:
  - Empty, or
  - A root with left and right subtrees
- SLL: Recursive nature was captured by hidden node (Node<E>) class
- Binary Tree: No “inner” node class
  - Single BinaryTree class does it all
  - Is it a tree or a node?
    - It’s a node that’s a root of a tree!
  - And it’s not part of Structure hierarchy!

# Expression Trees

4 \* 2 + 3



Build using constructor

```
new BinaryTree<E>(value, leftSubTree, rightSubTree)
```

```
BinaryTree<String> fourTimesTwo = new BinaryTree<String>  
    ("*", new BinaryTree<String>("4"), new BinaryTree<String>("2"));
```

```
BinaryTree<String> fourTimesTwoPlusThree = new BinaryTree<String>  
    ("+", fourTimesTwo, new BinaryTree<String>("3"));
```

# Expression Trees

- General strategy
  - Make a binary tree (BT) for each leaf node
  - Move from bottom to top, creating BTs
  - Eventually reach the root
  - Call “evaluate” on final BT
- Example
  - How do we make a binary expression tree for  $((4+3)*(10-5))/2$ 
    - Postfix notation: 4 3 + 10 5 - \* 2 /

```
int evaluate(BinaryTree<String> expr) {

    if (expr.height() == 0)
        return Integer.parseInt(expr.value());

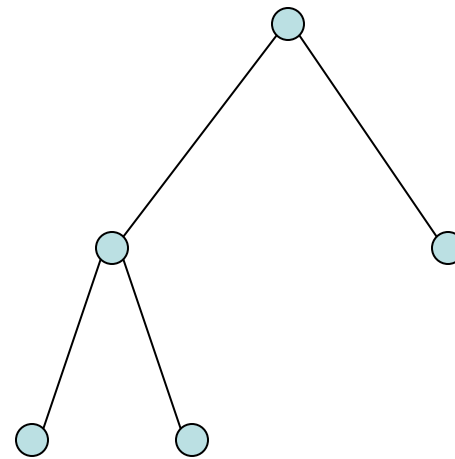
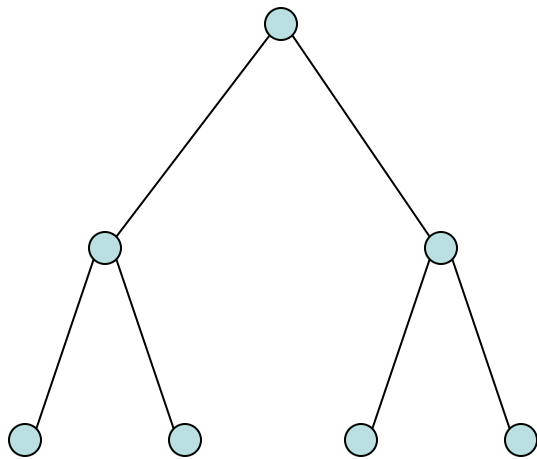
    else {
        int left = evaluate(expr.left());
        int right = evaluate(expr.right());
        String op = expr.value();
        switch (op) {

            case "+" : return left + right;
            case "-" : return left - right;
            case "*" : return left * right;
            case "/" : return left / right;
        }

        Assert.fail("Bad op");
        return -1;
    }
}
```

# Full vs. Complete (non-standard!)

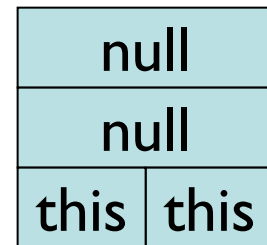
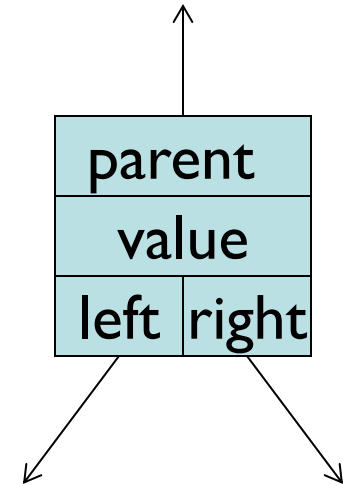
- **Full** tree – A full binary tree of height  $h$  has *leaves only* on level  $h$ , and each internal node has exactly 2 children.
- **Complete** tree – A *complete* binary tree of height  $h$  is *full* to height  $h-1$  and has all leaves at level  $h$  in leftmost locations.



All full trees are complete, but not all complete trees are full!

# Implementing BinaryTree

- BinaryTree<E> class
  - Instance variables
    - BinaryTree: parent, left, right
    - E: value
- left and right are never null
  - If no child, they point to an “empty” tree
    - Empty tree T has value null, parent null, left = right = T
  - Only empty tree nodes have null value

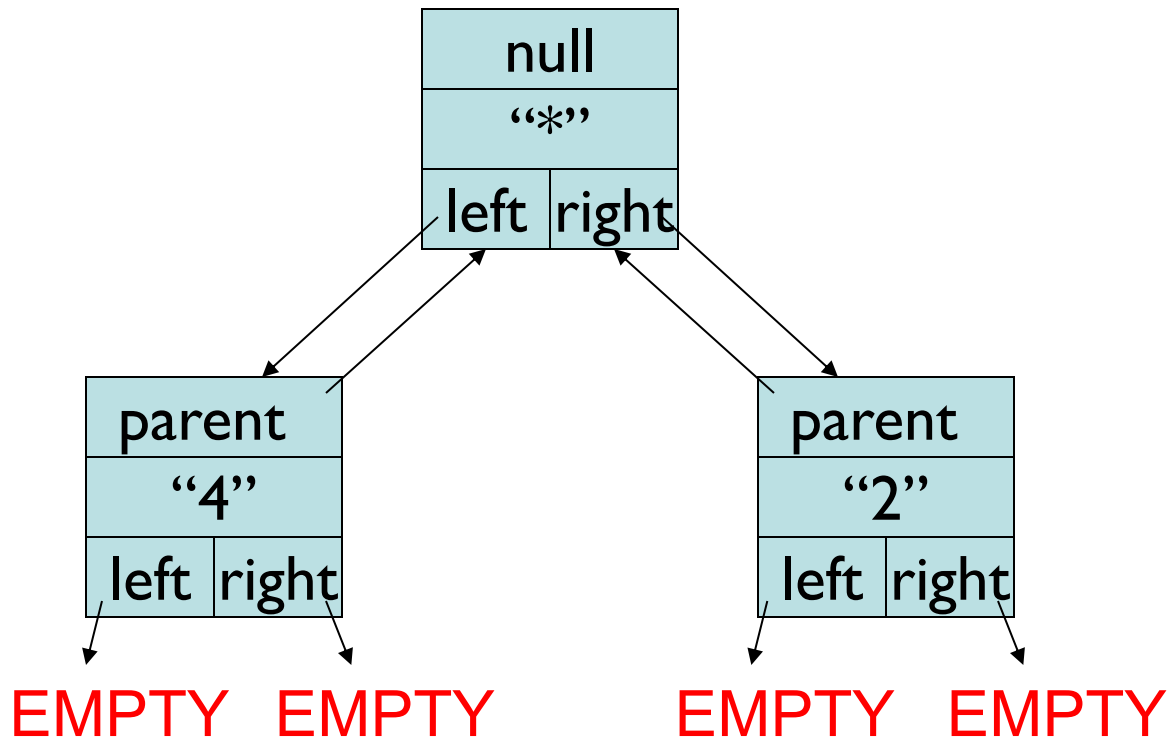
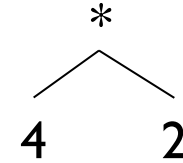


**EMPTY BT**

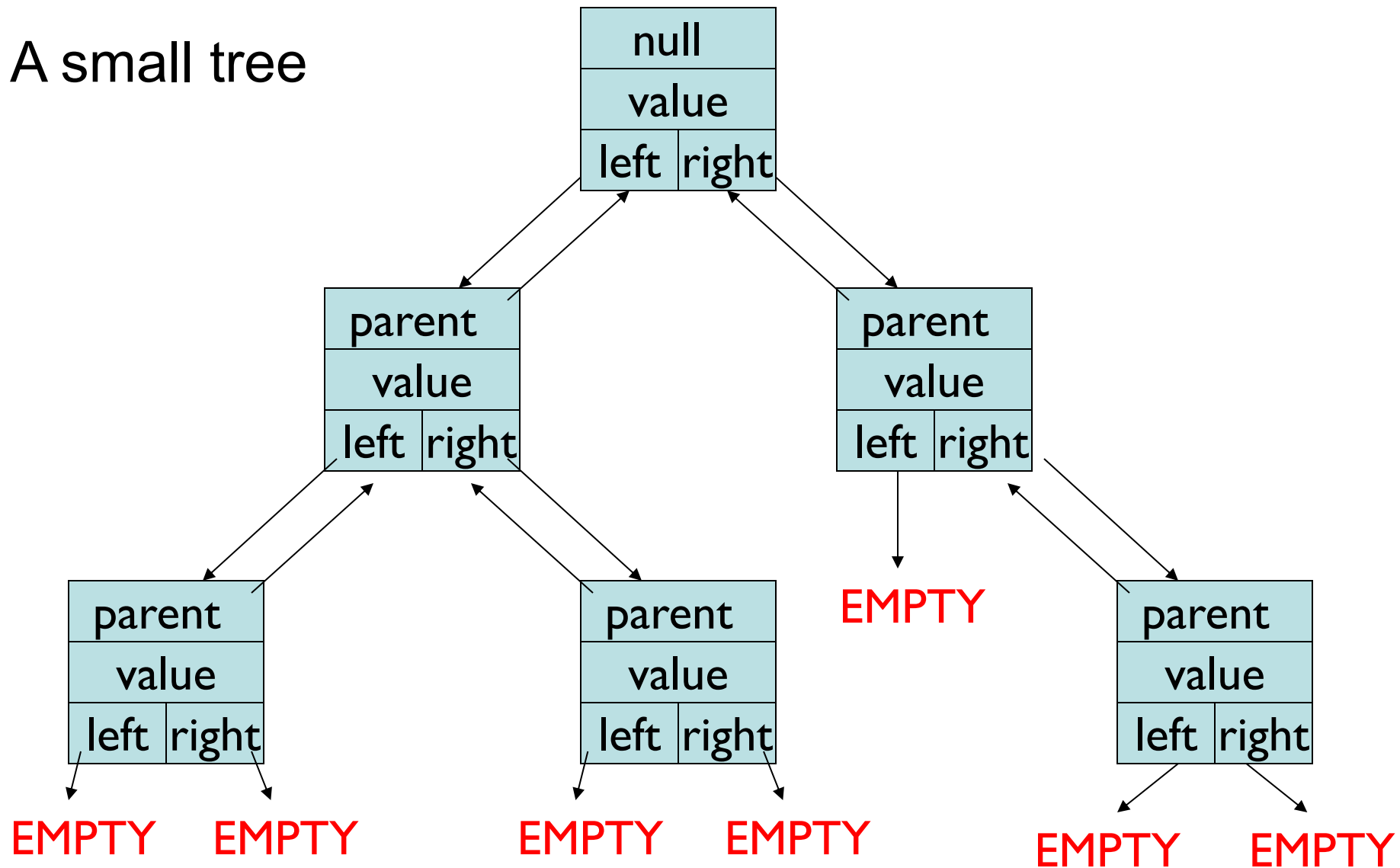


# Implementing BinaryTree

- BinaryTree class
  - Instance variables
    - BT parent, BT left, BT right, E value



# A small tree



EMPTY != null!

# Implementing BinaryTree

- Many (!) methods: See BinaryTree javadoc page
- All “left” methods have equivalent “right” methods
  - `public BinaryTree()`
    - `// generates an empty node (EMPTY)`
    - `// parent and value are null, left=right=this`
  - `public BinaryTree(E value)`
    - `// generates a tree with a non-null value and two empty (EMPTY) subtrees`
  - `public BinaryTree(E value, BinaryTree<E> left, BinaryTree<E> right)`
    - `// returns a tree with a non-null value and two subtrees`
  - `public void setLeft(BinaryTree<E> newLeft)`
    - `// sets left subtree to newLeft`
    - `// re-parents newLeft by calling newLeft.setParent(this)`
  - **protected** `void setParent(BinaryTree<E> newParent)`
    - `// sets parent subtree to newParent`
    - `// called from setLeft and setRight to keep all “links” consistent`

# Implementing BinaryTree

- **Methods:**
  - `public BinaryTree<E> left()`
    - `// returns left subtree`
  - `public BinaryTree<E> parent()`
    - `// post: returns reference to parent node, or null`
  - `public boolean isLeftChild()`
    - `// returns true if this is a left child of parent`
  - `public E value()`
    - `// returns value associated with this node`
  - `public void setValue(E value)`
    - `// sets the value associated with this node`
  - `public int size()`
    - `// returns number of (non-empty) nodes in tree`
  - `public int height()`
    - `// returns height of tree rooted at this node`
  - But where's “remove” or “add”?!?!?

# BT Questions/Proofs

- Prove
  - The number of nodes at depth  $n$  is at most  $2^n$
  - The number of nodes in tree of height  $n$  is at most  $2^{n+1} - 1$
  - A tree with  $n$  nodes has exactly  $n - 1$  edges
  - The `size()` method works correctly
  - The `height()` method works correctly
  - The `isFull()` method works correctly

# BT Questions/Proofs

Prove: Number of nodes at depth  $d \geq 0$  is at most  $2^d$

Idea: Induction on depth  $d$  of nodes of tree

Base case:  $d = 0$ : 1 node;  $1 = 2^0$  ✓

Induction Hyp.: For some  $d \geq 0$ , there are at most  $2^d$  nodes at depth  $d$

Induction Step: Consider depth  $d + 1$ . There are at most 2 nodes at depth.  $d + 1$  for every node at depth  $d$ .

Therefore it has at most  $2 * 2^d = 2^{d+1}$  nodes ✓

# BT Questions/Proofs

Prove that any tree on  $n \geq 1$  nodes has  $n - 1$  edges

Idea: Induction on number of nodes

Base case:  $n = 1$ . There are no edges ✓

Induction Hyp: Assume that, for some  $n \geq 1$ , every tree on  $n$  nodes has exactly  $n - 1$  edges.

Induction Step: Let  $T$  have  $n + 1$  nodes. Show it has exactly  $n$  edges.

- Remove a leaf  $v$  (and its single edge) from  $T$
- Now  $T$  has  $n$  nodes, so it has  $n - 1$  edges
- Now add  $v$  (and its single edge) back, giving  $n + 1$  nodes and  $n$  edges.

# BT Questions/Proofs

Prove that BinaryTree method size() is correct.

- Let  $n$  be the number of nodes in the tree  $T$

Base case:  $n = 0$ .  $T$  is empty---size() returns 0 ✓

Induction Hyp: Assume size() is correct for *all trees* having *at most*  $n$  nodes.

Induction Step: Assume  $T$  has  $n + 1$  nodes

- Then left/right subtrees each have *at most*  $n$  nodes
- So size() returns correct value for each subtree
- And the size of  $T$  is  $1 + \text{size of left subtree} + \text{size of right subtree}$  ✓

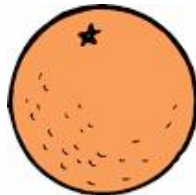


# Representing Knowledge

- Trees can be used to represent knowledge
  - Example: InfiniteQuestions game
- We often call these trees decision trees
  - Leaf: object
  - Internal node: question to distinguish objects
- Move down decision tree until we reach a leaf node
- Check to see if the leaf is correct
  - If not, add another question, make new and old objects children
- Let's look at the code...

# Building Decision Trees

- Gather/obtain data
- Analyze data
  - Make greedy choices: Find good questions that divide data into halves (or as close as possible)
- Construct tree with shortest height
- In general this is a \*hard\* problem!
- Example



# Representing Arbitrary Trees

- What if nodes can have many children?
  - Example: Game trees
- Replace left/right node references with a list of children (Vector, SLL, etc)
  - Allows getting “i<sup>th</sup>” child
- Should provide method for getting degree of a node
- Degree 0 = Empty list = No children = Leaf